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**Tsunami Inundation Variability from Stochastic Rupture Scenarios:  
Application to the 2011 Tohoku, Japan Earthquake Multiple Inversions**

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## 22    **Abstract**

23            We develop a framework for assessing the sensitivity and variability of tsunami inundation  
24 characteristics for stochastic physics-based scenarios of mega-thrust subduction earthquakes. The  
25 method is applied to the 2011 Tohoku, Japan earthquake, and tested against observed inundation  
26 maps at several locations along the Tohoku coast, using 11 different, previously published, rupture  
27 models for this devastating tsunamgenic earthquake. The earthquake rupture models differ in fault  
28 dimension (length and width), geometry (dip, strike and top-edge depth), as well as asperity  
29 characteristics (slip heterogeneity on the fault plane). The resulting source variability allows  
30 exploring a wide range of tsunami scenarios for an  $M_w9$  mega-thrust subduction earthquake in the  
31 Tohoku region to conduct thorough sensitivity analyses and to quantify the inundation variability.  
32 The numerical results indicate a strong influence of the reference source models on inundation  
33 variability, and demonstrate significant sensitivity of inundation to the details of the rupture  
34 realization. Therefore, relying on a single particular earthquake rupture model as a representative  
35 case when varying earthquake source characteristics may lead to under-representation of the  
36 variability of potential scenarios. Moreover, the proposed framework facilitates the rigorous  
37 development of critical scenarios for tsunami hazard and risk assessments, which are particularly  
38 useful for tsunami hazard mapping and disaster preparedness planning.

39

40    **Keywords:** Tsunami simulation, 2011 Tohoku earthquake, Inundation mapping, Stochastic  
41 earthquake slip, Sensitivity analysis, Epistemic uncertainty

42

## 43    **1    Introduction**

44            The current state-of-the-practice for tsunami hazard mapping for coastal communities mainly  
45 considers tsunami hazard parameters (e.g. inundation depths and arrival times of major tsunami  
46 waves) that correspond to a single or at most a few scenarios on a selected fault. This approach  
47 lacks comprehensive information on the uncertainty of these hazard predictions. Consequently, the  
48 range of inundated areas and required structural design criteria cannot be adequately quantified,  
49 which in turn hampers the risk communications between tsunami analysts and local stakeholders.  
50 Therefore, users of scenario-based tsunami hazard maps may not be able to appreciate the potential  
51 risks (and their uncertainties) under different conditions. For instance, during the 2011 Tohoku,  
52 Japan tsunami, more than 65% of all fatalities in Kamaishi, Iwate Prefecture were caused outside  
53 the regions designated as major inundation zones in public tsunami hazard maps identified prior to  
54 2005. The actual 2011 tsunami was beyond any historical events/scenarios considered for preparing  
55 the 2005 hazard map along the Sanriku and Sendai coasts. Clearly, a set of tsunami inundation  
56 hazard maps for coastal communities, corresponding to different tsunami scenarios and their  
57 consequences, is critically important for adequate tsunami hazard preparedness and evacuation  
58 planning. Using probabilistic hazard maps helps account for the main sources of uncertainty related  
59 to the tsunami characteristics, and promotes an informed decision-making for tsunami risk  
60 reduction by quantifying and understanding the consequences of different conditions and by  
61 communicating the uncertainty of hazard predictions (Pang, 2008).

62            One of the major challenges for tsunami impact assessment is to predict the earthquake source  
63 characteristics of future tsunamigenic events (e.g. location, magnitude, and slip distribution), and to  
64 then quantify the uncertainty associated with the variability in earthquake rupture and wave  
65 propagation/inundation processes (e.g. Burbidge et al., 2015). In particular, tsunami propagation  
66 and inland inundation characteristics are greatly influenced by complex and nonlinear interaction of  
67 earthquake source properties and changes in bathymetry and land elevation (Geist, 2002;  
68 McCloskey et al., 2008; Løvholt et al., 2012; Goda et al., 2014). Probabilistic tsunami hazard



69 analysis (Geist and Parsons, 2006; Thio et al., 2007; Gonzalez et al., 2009; Horspool et al., 2014;  
70 Fukutani et al., 2015; Mueller et al., 2015; Park et al., 2016), is a viable approach to identify  
71 tsunami source regions and corresponding scenarios that have major impact to a site of interest.  
72 Recently, the American Society of Civil Engineers (ASCE) has announced the new chapter 6 (6.7  
73 *Inundation Depth and Flow Velocity Based on Site-Specific Probabilistic Tsunami Hazard*  
74 *Analysis*) to introduce design requirements for tsunami loads and effects (Chock, 2016) that can be  
75 defined through probabilistic tsunami hazard analysis. Therefore, the role of probabilistic tsunami  
76 hazard analysis becomes more important in both scientific and engineering fields. When developing  
77 critical design scenarios at a specific site, several experts are involved, each with different  
78 backgrounds and scientific views, leading to very diverse opinions on potential source  
79 characteristics. Such expert judgements rarely result in a consensus model, but rather generate a set  
80 of disparate scenarios that need to be weighted in a logic-tree approach (e.g. Fukutani et al, 2015).

81       Recent development in probabilistic tsunami hazard assessment facilitates the generation of  
82 stochastic earthquake source models based on an inverted slip distribution (Mai and Beroza, 2002;  
83 Lavallée et al., 2006; Goda et al., 2014, 2015a; Mori et al., 2017). These source models represent  
84 possible rupture scenarios having different earthquake slip and fault geometry. Because they are  
85 generated semi-automatically within a range of plausible, geophysically constrained parameter  
86 choices, they do not require expert judgment. The stochastic method is based on a wave-number  
87 domain analysis of slip heterogeneity of inverted rupture models, and implements a spectral  
88 random-phase approach to generate slip fields that capture realistic earthquake slip characteristics  
89 (i.e. distribution of high slip regions over the fault plane). This procedure allows generating an  
90 arbitrary number of synthetic slip models for a range of fault geometries and other source  
91 characteristics (e.g. length and width of fault, spectral shape of slip, and ratio of maximum and  
92 mean). For example, Goda et al. (2014, 2015a) developed a stochastic earthquake source generation  
93 approach for tsunami impact assessment based on an observed rupture model, while Goda et al.  
94 (2015b) have extended it to probabilistic tsunami damage assessment. However, their method used

95 a single inverted source model and did not consider the uncertainty in source parameter estimation,  
96 as for instance documented in the variations of macroscopic source characteristics of published  
97 earthquake rupture models (e.g. Mai and Thingbaijam, 2014). Obviously, it is imperative to  
98 consider multiple inversion models to adequately evaluate the tsunami inundation and run-up as  
99 well as their variability (MacInnes et al., 2013; Goda et al., 2014, 2015a).

100 The procedure of stochastic tsunami assessment is useful for assessing the sensitivity and  
101 variability of tsunami hazard parameters by propagating the uncertainty associated with tsunami  
102 sources from off-shore source regions to inland coastal regions by computing the complete  
103 nonlinear fluid-dynamic response of the tsunami. By conducting Monte-Carlo type tsunami  
104 simulations based on numerous source models, stochastic inundation depth maps can be generated  
105 and then analyzed. Such a stochastic approach for tsunami scenario generation can be easily  
106 incorporated into probabilistic tsunami hazard analysis. However, stochastic tsunami assessment  
107 strongly depends on observations or historical events to make scenarios or synthetic slips. Therefore,  
108 it is important to discuss influence of basic observations or historical events on outcomes (e.g.  
109 inundation mapping).

110 This study investigates the sensitivity and variability of the spatial extent and depth of  
111 tsunami inundation considering variations in fault geometry and slip distribution using stochastic  
112 rupture models. The stochastic earthquake source realizations are calibrated using multiple results  
113 of source inversion. The investigation focuses on the 2011 Tohoku event, because numerous  
114 tsunami, seismic, and geodetic observations are available to validate the outcome of the tsunami  
115 hazard assessment. Recognizing that different inversion models may reflect different aspects of the  
116 earthquake rupture processes, a set of earthquake rupture models (developed by different  
117 researchers using different methods and data) can be adopted to characterize parts of the epistemic  
118 uncertainty related to source modeling. Although there are many analyzed inverted slip models, e.g.  
119 a comprehensive summary of estimated static stress drops for the 2011 Tohoku earthquake by  
120 Brown et al. (2015), major 11 inverted models (Ammon et al., 2011; Fujii et al., 2011; Hayes, 2011;

121 Iinuma et al., 2011, 2012; Shao et al., 2011; Yamazaki et al., 2011; Gusman et al., 2012; Satake et  
122 al., 2013) are considered for defining the parameterization for the stochastic earthquake slip  
123 distributions. The adopted inversion models have different fault dimensions as well as asperity  
124 characteristics. Therefore, a wide range of admissible tsunami scenarios for an  $M_w9$  mega-thrust  
125 subduction earthquake in the Tohoku region can be explored, facilitating a detailed sensitivity and  
126 variability analysis. For each model, source variations with stochastic slip realizations are  
127 synthesized (50 cases per model; thus in total, 550 cases for the 11 inversion models). Note that the  
128 stochastic source models generated in this study are intended to cover a wide range of possible  
129 earthquake scenarios that may be applicable to probabilistic tsunami hazard mapping. Because the  
130 stochastic source models are parameterized by spectral characteristics of a given source model, we  
131 examine how different reference inversion models change probabilistic tsunami height and  
132 inundation through the stochastic source modeling. Moreover, our investigations produce high-  
133 resolution tsunami hazard information with 50-m grid resolution (compared to 450-m grid  
134 resolution in Goda et al. [2014]). Tsunami hazard parameters considered are (i) the spatial  
135 inundation depth and (ii) the inundated area where depth exceeds certain thresholds. We then  
136 quantify the variations of these tsunami hazard estimates due to variability in slip distribution and  
137 inversion-based source models. The results of this study are particularly useful for evaluating the  
138 range of tsunami predictions for a broadly defined scenario, and relate specific inundation scenarios  
139 to specific tsunami source characteristics for tsunami risk management.

140 This study is organized as follows. Section 2 summarizes stochastic source models used in the  
141 tsunami simulation, including 11 published slip models for the 2011 Tohoku earthquake. Our  
142 tsunami simulation set-up is described in Section 3. Section 4 presents tsunami simulations using  
143 different earthquake slip models. The study focuses on ten major communities, located at the  
144 Pacific side of the Tohoku region, to carry out tsunami impact assessments. In Section 5, tsunami  
145 scenarios that produce critical hazard impacts are investigated in more detail to identify the most

critical scenarios for tsunami hazard mapping purposes. Finally, Section 6 summarizes the main conclusions of this study.

148

## 2 Stochastic earthquake source modeling using multiple inversions

This study considers multiple earthquake sources for synthetic rupture model generation to examine the sensitivity of tsunami inundation characteristics to earthquake properties. First, we provide an overview of earthquake source inversion results for the 2011 Tohoku earthquake and resulting seafloor displacements. Next, we briefly review the method of stochastic earthquake source simulation (Goda et al., 2014), followed by the tsunami source generation results that use the described source modeling approach.

156

### 2.1 *Inversion models of the Tohoku 2011 Earthquake Tsunami*

Multiple earthquake slip models are gathered from published source inversion studies for the 2011 Tohoku earthquake. These models are used as reference to further synthesize stochastic slip distributions that resemble key features of the reference models (e.g. slip distribution and its spectral characteristics in the wavenumber domain; see Section 2.2).

Table 1 summarizes key features of 11 rupture models deployed in this study, the corresponding slip distributions are shown in Fig. 1. These source models are inverted using teleseismic/tsunami/geodetic data to constrain fault displacement (slip) across the rupture plane. Moment magnitudes of the source models range between 9.0 and 9.2, which translates into a factor of two difference in seismic moment (or a factor of two in mean slip in case of identical fault dimensions). Notable differences in slip models are observed in terms of fault plane dimensions, as well as location and concentration of large slip patches (i.e. asperities). The fault length varies from 340 km (model 6) to 625 km (model 9), while the fault width ranges from 200 km to 260 km. The depth to the top-edge of the fault plane varies from 0 km (models 1 and 2) to 7.4 km (models 4 and 5), while the fault strike falls between 192° to 202°, approximately parallel to the Japan Trench.

172 Models 1, 3 to 7, and 9 assume constant dip (between  $10^\circ$  and  $14^\circ$ ), whereas other models have  
173 variable dip angles that gradually steepen with increasing depth. The rake angles vary slightly,  
174 representing reverse fault mechanisms (near  $90^\circ$ ).

175 The characteristics of slip asperities, such as location, size, shape, and amplitude, differ  
176 significantly among these source models, reflecting the complexity and uncertainty in imaging the  
177 earthquake rupture process. In Fig. 1, regions outlined by thick black lines represent the asperity  
178 area, defined as the regions in which slip is equal to or greater than three times the overall average  
179 slip. Models 2, 6, and 11 have large asperities along the eastern edge of the fault plane, while others  
180 have large slip values near the hypocenter. The maximum slip values for the 11 models reach 35 m  
181 to 75 m. Models 3, 5, and 7 are characterized by slip concentration extending primarily along strike,  
182 whereas models 1, 4, and 8 have circular/elliptic slip concentration. Other important features of the  
183 models are different spatial resolutions of the inverted slip distributions, which affect the spectral  
184 analysis and synthesis of stochastic slip models (Mai and Beroza, 2002; Goda et al., 2014).

185 Differences in earthquake slip result in different boundary conditions for the tsunami  
186 excitation and propagation, and therefore also for the resulting run-up. Typically, the vertical  
187 seafloor displacement is directly taken as the initial water surface elevation, evaluated based on  
188 expressions of Okada (1985) and Tanioka and Satake (1996). The latter equation accounts for the  
189 effects of horizontal seafloor movements in case of significant seafloor topography, which induces  
190 additional vertical water-column motion. This approach is depicted in Fig. 2, showing vertical  
191 seafloor displacements for the 11 inversion models. Clearly, variable slip patterns among source  
192 models, even for the same event, generate very different spatial characteristics of seafloor  
193 displacements, which in turn lead to different tsunami wave profiles at various locations along the  
194 Tohoku coast (Goda et al., 2014).

195

## 196 2.2 *Spectral analysis and synthesis of earthquake slip models*

197       The earthquake slip modeling procedure deployed in this study generates earthquake slip  
198 distributions based on spectral analysis of an inversion-based source model (hereafter, original slip  
199 model), resulting in simulated slip distributions that are statistically equivalent to the original slip  
200 model. The synthetic method is based on Mai and Beroza (2002), and has been recently modified  
201 for large mega-thrust subduction earthquakes (Goda et al., 2014). The random-field generation  
202 method is designed to balance similarity in key features of the inversion-based models (e.g. overall  
203 slip distribution and its spectral characteristics) with dissimilarity in the details (e.g. locations of  
204 large slip patches). A graphical flowchart of the procedure is shown in Fig. 3, using the Satake et al.  
205 (2013) slip distribution as an example (see the Spectral Analysis and Synthesis panel). The  
206 procedure begins with a spectral analysis of the reference source models (inversion results), based  
207 on which stochastic synthetic slip models are generated. Subsequently, these are used to carry out  
208 tsunami simulations for the targeted region, for which then a sensitivity analysis examines the  
209 relations between rupture properties and inundation characteristics. In the following, we describe  
210 these steps in more detail.

211

#### 212 **STEP1: Box–Cox analysis**

213       Slip values of an inversion-based rupture model may be significantly different from the  
214 normal distribution and exhibit a heavy right-tail, in comparison with the normal distribution with  
215 the same statistics (Thingbaijam and Mai, 2016). To deal with non-normal slip distribution, a  
216 nonlinear scaling of slip values is considered during the random-field generation procedure (see  
217 STEP3). To identify a suitable nonlinear scaling method, the characteristics of the slip distribution  
218 are analyzed using the Box–Cox transformation (Box and Cox, 1964), in which an original variable  
219  $x$  (i.e. non-normal slip values) is converted into  $y$  as:  $y = (x^\lambda - 1)/\lambda$ . The method identifies the best  
220 power transformation parameter  $\lambda$  by maximizing the linear correlation coefficient between the  
221 transformed variable  $y$  and the standard normal variate for different values of  $\lambda$ . The obtained value

222 of  $\lambda$  is then used for nonlinear scaling of the synthesized slip distributions in spectral synthesis later  
223 (i.e. inverse Box–Cox transformation).

224

## 225 **STEP2: Spectral analysis**

226 Prior to the spectral analysis, the original slip model is bilinearly interpolated in space and  
227 then tapered to decrease slip to zero at each side of the fault plane (see sensitivity of tapering in  
228 Goda et al., 2016), which was considered to deal with different slip models. Subsequently, the fast  
229 Fourier transform (FFT) is applied to the interpolated slip distribution to obtain the two-dimensional  
230 (2D) normalized power spectrum. The usable wavenumber range ( $k_{min} < k < k_{max}$ ) is determined  
231 based on the characteristic dimension of the fault plane ( $k_{min}$ ) and the spatial resolution of the  
232 original slip model ( $k_{max}$ ). The extracted normalized power spectra in the down-dip and along-strike  
233 directions are fitted by the power spectrum of an auto-correlation function. The power spectrum  
234  $P(k)$  of an anisotropic von Kármán auto-correlation function is considered:

$$235 \quad P(k) \propto \frac{A_x A_z}{(1 + k^2)^{H+1}}, \quad (1)$$

236 where  $k$  is the wavenumber,  $k = (A_z^2 k_z^2 + A_x^2 k_x^2)^{0.5}$ ,  $A_z$  and  $A_x$  are the correlation lengths for the  
237 down-dip and along-strike directions, respectively, and  $H$  is the Hurst number. The value of  $H$   
238 determines the slope of the power spectral decay in the high wavenumber range (Mai and Beroza,  
239 2002). At wavenumber scales greater than the correlation length, the slip distribution is governed by  
240 average slip, while at wavenumber scales less than the correlation length, local slip heterogeneity  
241 dominates.  $A_x$  and  $A_z$  control the absolute level of the power spectrum in the low wavenumber range  
242 (i.e.  $k \ll 1$ ) and differences in  $A_x$  and  $A_z$  capture anisotropic features of the slip distribution.  $H$   
243 determines the power-spectral decay in the high wavenumber range, and theoretically is constrained  
244 to the range  $0 \leq H \leq 1$ , where smaller  $H$  implies rougher slip. The characteristic spectral values are  
245 different in the source models and depend on inversion methods even for the same event.

246

## 247 **STEP3: Synthetic slip generation**

248       Using the results from STEP2, multiple realizations of slip distributions are generated,  
249       applying the desired stochastic properties and the macroscopic source and spectral parameters. A  
250       random field, having quasi-normal distribution with a desired spatial correlation structure, is  
251       synthesized using a Fourier integral method (Pardo-Iguzquiza and Chica-Olmo, 1993). The  
252       amplitude spectrum of the target slip distribution is specified by the theoretical von Kármán power  
253       spectrum with correlation lengths and Hurst number estimated in STEP2, while the phase spectrum  
254       is represented by a random phase. The constructed complex Fourier coefficients are transformed  
255       into the spatial domain via 2D inverse FFT. The synthesized slip distribution is then nonlinearly  
256       scaled using the Box–Cox parameter  $\lambda$  estimated in STEP1 in order to follow the observed right-tail  
257       characteristics. In this re-scaling procedure, an upper bound (i.e. maximum slip of the original  
258       inversion model) is applied to avoid unrealistically large slip.

259       To ensure that the synthesized slip distributions resemble the original one in terms of location  
260       and amplitude of high-slip patches, their asperity dimensions are qualitatively compared with the  
261       original slip distribution. An acceptable slip distribution is required to have its maximum slip patch  
262       within the asperity zone of the original distribution, with its slip concentration located in the  
263       rectangular asperity zone greater than the threshold value given by the original slip distribution (see  
264       an example of spectral synthesis of the distribution in the right upper panel in Figure 3). This  
265       procedure constrains the spatial concentration of synthetic slip along the strike and dip. To  
266       implement this consistency check, multiple realizations are generated and compared against the  
267       original slip model. Finally, the mean and standard deviation of the transformed slip distribution are  
268       adjusted to adapt the statistics of the synthesized slip model to the original slip model.

269       To create the stochastic slip distributions for each of the 11 inversion models, 50 realizations  
270       of a target slip distribution are generated, with geometrical parameters identical to those of the  
271       original inversion models. Therefore, we perform the tsunami sensitivity and variability analyses for  
272       a total of 550 synthetic slip distributions. Table 2 lists the corresponding stochastic slip parameters  
273       for spectral synthesis. All Hurst numbers are set to 0.99, except for models 2 and 6, to constrain this



parameter within a physically meaningful range (i.e.  $H < 1.0$ ). When  $A_x > A_z$ , the slip distribution is more coherent in along-strike direction, and thus slip appears anisotropic. The Box–Cox parameter  $\lambda$  captures the right-tail characteristics of slip distribution, with  $\lambda = 0.0$  defining the logarithmic transformation and  $\lambda = 1.0$  corresponding to the normal distribution. All Box–Cox parameters, except for model 11, are positive (between 0.1 and 0.3), indicating that the right-tail characteristics of these slip models are less heavy than the logarithmic case but heavier than the normal distribution. The heavy right-tail feature of model 11 ( $\lambda = 0.0$ ) is attributed to very large slip values (exceeding 70 m) for several sub-faults along the trench (see Fig. 1). For approximately constant seismic moment, mean slip values of the original inversion models decrease with increasing fault dimensions. The fractional values for the size and the slip concentration of an asperity zone quantify asperity characteristics, and are subsequently used to determine acceptance/rejection of the synthesized slip distributions. Typically, 25% to 45% of the total slip is concentrated in only 9% to 13% of the overall rupture area (e.g. Mai et al., 2005). Therefore, the slip distributions simulated in this study exhibit by design macroscopic spectral slip characteristics that are statistically similar, but not identical, to the original inversion models.

289

### 290 **3 Numerical tsunami modeling**

Tsunami modeling is carried out using a well-tested numerical code (Goto et al. 1997) that computes the off-shore tsunami propagation and inundation profiles. The governing equations are the nonlinear shallow water equations, evaluated using a leap-frog staggered-grid finite difference scheme. The inundation calculation is performed by a moving boundary approach, where a dry or wet condition of a computational cell is determined based on total water depth. The computational domains are nested at four resolutions from offshore to onshore domains (i.e. 1350-m, 450-m, 150-m, and 50-m domains). Computational cells include those on land, and coastal defense structures are taken into account using overflowing formulae as a sub-grid model along the cell boundary as the most of urban areas in Japan is protected by coastal dikes.

300 Bathymetry/elevation data, Manning's roughness coefficients, and information of coastal  
301 defense structures for the Tohoku region are obtained from the Cabinet Office of the Japanese  
302 Government. The ocean bathymetry is based on the digital bathymetry data and nautical charts  
303 developed by the Japan Hydrographic Association and the Japan Coastal Guard. The land  
304 topography is based on the 50-m grid digital elevation model (DEM) developed by the Geospatial  
305 Information Authority of Japan. Note that the 50-m grid DEM data only represent an average value  
306 for a given cell, and hence yield rough approximations of land elevation at individual cells. The  
307 land use is considered to define bottom friction using Manning's formula, although subgrid scale  
308 structures are important to simulate local tsunami behavior (e.g. Liu et al., 2013). Four Manning's  
309 coefficients are assigned to computational cells based on national land use data in Japan:  $0.02 \text{ m}^{-1/3}\text{s}$   
310 for agricultural land,  $0.025 \text{ m}^{-1/3}\text{s}$  for ocean/water,  $0.03 \text{ m}^{-1/3}\text{s}$  for forest vegetation, and  $0.04 \text{ m}^{-1/3}\text{s}$   
311 for urban areas. Numerical tsunami calculations are performed for total event duration of 2 hours,  
312 using an integration time step of 0.5 s.

313

#### 314 **4. Sensitivity and variability of inundation areas along the Tohoku coast**

##### 315 *4.1 Outline of validation procedure*

316 The simulated inundation heights caused by the 11 original rupture models are compared  
317 against measured inundation and run-up observations from the 2011 Tohoku Tsunami Joint Survey  
318 (TTJS; Mori et al., 2011, 2012). The inundation heights in the TTJS database are converted to  
319 inundation depths using the 50-m grid DEM data from the Cabinet Office. Although such  
320 adjustments may be inaccurate for individual survey sites (e.g. at very steep hills), they are justified  
321 for the majority of flat terrains. To facilitate the comparison of spatial and depth extent of simulated  
322 inundation with the actual inundation/run-up observations, we consider ten strongly affected  
323 cities/towns along the Tohoku coast (Fraser et al., 2013). These are, from North to South (see Fig.  
324 4): Miyako, Kamaishi, Ofunato, Rikuzentakata, Kesenuma, Onagawa, Ishinomaki–  
325 Higashimatsushima, Shiogama–Shichigahama, Sendai–Natori–Iwanuma, and Watari–Yamamoto–

326 Soma. Detailed results for three locations (Kamaishi, Onagawa, and Sendai–Natori–Iwanuma) are  
 327 discussed in Sections 4.2, 4.3, and 4.4, respectively, whereas overall results for the ten locations are  
 328 discussed in Section 4.5. These three locations are selected due to different positions with respect to  
 329 the rupture plane and slip asperities (near for Onagawa, but far for the other locations) and steep  
 330 fjord-like coast (Sanriku ria coast for Kamaishi and Onagawa) versus plain coast (Sendai coast for  
 331 Sendai–Natori–Iwanuma), thus representing typical situations for the 2011 Tohoku tsunami.

332 For these post-surveyed sites, we compute various summary statistics of the simulated  
 333 inundation depths and tsunami hazard parameters: mean and standard deviation of the inundation  
 334 depths, inundation areas with depths exceeding threshold values of 1, 2, 3, 5, 7.5, and 10 m, and the  
 335  $K$  and  $\kappa$  factors (Aida, 1978). The  $K$  factor is the geometric mean of the ratio between the observed  
 336 and simulated inundation/run-up heights, while the  $\kappa$  factor is the logarithmic standard deviation of  
 337 the ratio. The corresponding equations are as follows:

$$338 \quad \log_{10} K = \frac{1}{n} \sum_{i=1}^n \log_{10} H_{obs,i} / H_{simu,i} , \quad (2)$$

339 and,

$$340 \quad \log_{10} \kappa = \sqrt{\frac{1}{n} \sum_{i=1}^n (\log_{10} H_{obs,i} / H_{simu,i})^2 - (\log_{10} K)^2} , \quad (3)$$

341 where  $n$  is the number of data points, and  $H_{obs}$  and  $H_{simu}$  are the observed and simulated  
 342 inundation/run-up heights, respectively. The  $K$  factor becomes unity if the simulated inundation  
 343 height is in perfect agreement with the observed inundation height, it decreases ( $K < 1$ ) if the  
 344 simulated inundation height is larger than the observation. To avoid undesired effects due to small  
 345 values on the calculated performance metrics, inundation depths shallower than 0.5 m are excluded  
 346 from the evaluation. Table 3 summarizes the mean and standard deviation of the simulated  
 347 inundation depths at all ten locations for the 11 slip models; Table 4 lists the corresponding  $K$  and  $\kappa$   
 348 factors.

349

## 350 4.2 *Kamaishi in Iwate Prefecture*

351 Kamaishi is situated in an East-facing bay, with urban development concentrated along  
352 Katsushi River. It is bounded by steep hills to the North and South. The port of Kamaishi was  
353 protected by the deepest breakwaters in the world (foundation depth of 63 m, freeboard at 6 m  
354 height above the sea surface), whose construction was completed in 2009. Although the Kamaishi  
355 breakwaters were severely damaged during the 2011 Tohoku tsunami, the breakwaters were  
356 effective in reducing the tsunami waves and delaying the arrival of major waves at the urban areas  
357 of Kamaishi (Mori et al., 2013, 2015). Nonetheless, the tsunami damage and fatalities in Kamaishi  
358 were devastating (Fraser et al., 2013). Based on the numerical analysis, the reduction of tsunami  
359 height was estimated as 20-30 %, in comparison with similar locations near Kamaishi Bay with or  
360 without breakwater (Mori et al., 2014). In the coastal defense data that are used for tsunami  
361 simulation in this study, the Kamaishi breakwaters are represented partially; the northern section of  
362 the Kamaishi breakwaters exists, whereas about 30% of the southern section of the Kamaishi  
363 breakwaters is present in the coastal defense data followed by the field observations immediately  
364 after the event.

365 Fig. 5 compares simulated inundation depth contours in Kamaishi for the 11 slip models,  
366 including the TTJS results (color-coded circles). Comparing the inundation depth contours reveals  
367 that the inundation area is not greatly affected by the slip model. In contrast, the water depths along  
368 the shoreline and in low-lying areas are significantly different for the considered slip models,  
369 because Kamaishi is surrounded by steep hills that prevent further inundation. Examining the  
370 inundation contours, the source models can be categorized into two groups; models 1, 2, 7, 8, and 9  
371 produce moderate inundation depths, whereas the remaining models (3, 4, 5, 6, 10, and 11) generate  
372 extensive inundation depths (see Table 3). The simulation results for group 1 are in closer  
373 agreement with the TTJS data than group 2. This is consistent with values of the  $K$  factor for group  
374 1 (ranging between 0.68 and 0.90; Table 4), which indicate only moderate over-prediction.

375 Fig. 6 shows probability distributions for inundation areas for the city of Kamaishi due to  
376 stochastic slip variations, using depth thresholds of 1 m and 5 m. The probability distributions are  
377 derived for the 11 reference models individually to examine the effects of the reference source  
378 model on the inundation characteristics. For a given reference model, a higher threshold value for  
379 inundation areas (i.e. 5m versus 1m), shifts the probability distribution curve to the left, because  
380 inundation areas with deeper depths are smaller than those with shallower depths. Note that the  
381 local topography in Kamaishi affects the probability distribution of inundation areas for the  
382 different slip models in various ways, depending also on the chosen depth threshold. When the  
383 depth threshold of 1 m is considered (Fig. 6a), the inundation areas for all 11 models are bounded at  
384 around 2.5 km<sup>2</sup>, exhibiting greater consistency among different source models. On the other hand,  
385 for the 5 m threshold (Fig. 6b), the probability distributions for the 11 models are more scattered.  
386 This suggests that using one particular reference model for generating stochastic slip distributions  
387 may not produce a robust inundation estimate. Thus, it is essential to consider alternative slip  
388 scenarios in exploring the possible range of tsunami inundation footprints.

389 Fig. 7 shows the  $K$  and  $\kappa$  factors (Eqs. 2 and 3) for the stochastic slip distributions. The  $K$   
390 factor distributions for the 11 models show a wide range from 0.3 to 3.0 (especially at higher  
391 probability levels), whereas the  $\kappa$  factor is more stable with respect to the alternative slip models. It  
392 is interesting to point out that the probability levels corresponding to the  $K$  factor of 1.0 strongly  
393 depend on the source models (ranging from 0.35 to 0.85), indicating that a retrospective association  
394 of the 2011 Tohoku tsunami (i.e. benchmark historical event) with future tsunami hazard estimates  
395 depends on the selected source model.

396

#### 397 4.3 *Onagawa in Miyagi Prefecture*

398 Onagawa is situated in a narrow valley exposed to the ocean in the East. Because of its  
399 location and topography, very large tsunami waves were generated by the 2011 Tohoku earthquake.  
400 In particular, the majority of buildings in low-lying areas were completely inundated and destroyed;

401 the maximum inundation depths exceeded 16 m. In addition to the direct tsunami effects, a large  
402 subsidence of 1.2 m was observed in Onagawa due to coseismic deformation of the 2011 Tohoku  
403 earthquake. Because of the very large tsunami waves arriving within a relatively short time after the  
404 earthquake (about 30 minutes), the fatality rate among the population residing in the inundated  
405 areas was particularly high in Onagawa (Fraser et al., 2013).

406 The spatial distributions of inundation depth in Onagawa, located close to the epicenter and  
407 major asperities, for the 11 original models are compared in Fig. 8. The areal coverage of  
408 inundation is similar for the 11 models but with varying depths. Among the 11 models, models 4, 5,  
409 and 7 produce particularly extensive inundation depths in Onagawa's low-lying areas (Table 3). The  
410 results based on models 2 and 6 are consistent with the TTJS data (Table 4). The large differences  
411 between the estimated inundation extent in Onagawa are related to the major asperities off the  
412 northern Miyagi ria coast; these high-slip regions are located relatively deep on the fault, rather than  
413 at shallow depths along the trench (Fig. 1). The latter observation is in agreement with Satake et al.  
414 (2013) who investigated the effects due to deep versus shallow slips on tsunami waves.

415 The plots of the probability distribution functions for different source models (Fig. 9) reveal  
416 limited sensitivity to the source models, compared to other locations in ria regions, i.e. the coastal  
417 line formed by submerged river valleys (see Fig. 6 for Kamaishi). The cumulative probability  
418 distribution functions for the different source models have similar profiles but different starting  
419 values of inundation area because all source models contain large slip patches off northern Miyagi  
420 Prefecture (Fig. 1), while the inundation areas are practically bounded at 1 km<sup>2</sup> due to topographic  
421 features of Onagawa.

422 Fig. 10 shows the variability of the  $K$  and  $\kappa$  factors due to the slip variations. The  $K$  factor  
423 distributions reveal remarkable differences among the 11 slip models, ranging from 0.3 to 5.0,  
424 although their overall trends are similar to Kamaishi (Fig. 7). One group of reference models  
425 (models 4 to 8) shows a steep probability increase for the  $K$  factor less than 1.0 (i.e. the majority of  
426 the stochastic slip distributions produce tsunami inundation extent similar to or greater than the

427 observed inundation during the 2011 Tohoku tsunami). In contrast, other models show particularly  
428 wide variations. The former group tends to have major asperities off northern Miyagi Prefecture,  
429 whereas the latter group allows shifts of major asperities toward the North and South of Onagawa.  
430 The slip models giving larger  $K$  factors in Onagawa (models 2, 3, and 9 to 11) act differently for  
431 Kamaishi (models 1, 2, 7, 9, and 10), although the slip models giving smaller  $K$  factors are similar  
432 at the two locations. Because the upper extent of inundation in ria coastal regions is limited by the  
433 surrounding steep hills that act as barriers for extreme inundation, the  $K$  factor is restricted at the  
434 lower limits (Figs. 7 and 10). Since the probability levels corresponding to  $K = 1.0$  vary between  
435 0.15 and 0.8, it is difficult to claim that the 2011 Tohoku event was a rare case for Onagawa,  
436 compared to the stochastic scenarios based on a few reference models. Therefore, multiple  
437 reference models are required to comprehensively characterize the potential tsunami hazard in this  
438 region.

439

#### 440 4.4 *Sendai–Natori–Iwanuma in Miyagi Prefecture*

441 Sendai, Natori, and Iwanuma are positioned in the Sendai plain (Figure 4), and represent the  
442 most densely populated areas in Miyagi Prefecture. Two major rivers, the Natori River and  
443 Abukuma River, run through these regions. The flat terrain contributed significantly to the wide-  
444 spread inundation during the 2011 Tohoku tsunami that even reached the Sendai Tobu Highway  
445 that runs South-North at about 2 to 5 km from the coast. The 10-m embankment of the highway  
446 reduced the tsunami inundation significantly (Goto et al., 2012). The destruction of residential  
447 districts near the shoreline (e.g. Arahama and Yuriage) was particularly severe. Because of the  
448 unexpectedly large tsunami, the fatality rates in the severely inundated areas were relatively high  
449 (Fraser et al., 2013).

450 The inundation depth in Sendai–Natori–Iwanuma, shown in Fig. 11, strongly depends on the  
451 source model, which is different than for other ria coast regions (Figs. 7 and 8). For the 11 slip  
452 models, the spatial extent of inundation is only moderately affected by the source models, but the

453 depth extent along the shoreline varies greatly. Models 4, 5, 7, and 11 over-predict the observed  
454 inundation depths, while model 9 under-predicts the observations (Table 3). Although the effects of  
455 the embankment at the Sendai Tobu Highway (Goto et al., 2012) are not taken into account in the  
456 tsunami simulations due to model resolution, the results based on models 2, 6, and 8 are consistent  
457 with the observations (Table 4). As Sendai, Natori, and Iwanuma are on a flat terrain, inundation  
458 depth as well as its spatial extent are critically important for tsunami hazard assessment.

459         The sensitivity analysis for inundation areas for depth thresholds of 1 m and 5 m suggests that  
460 large variability of inundation extent for the 11 reference models can also be observed from the  
461 wide probability range covered in Fig. 12a, indicating that the slip distributions from the 11 models  
462 can be separated into two groups in terms of their behavior in the probability distribution functions.  
463 In case of the 1 m threshold (Fig. 12a), models 9 to 11 show a gradual and constant increase of the  
464 probability distribution, while all other slip models generate extensive inundation scenarios for the  
465 majority of the cases (e.g. steep increase of the curves around 100 km<sup>2</sup>). An explanation for this  
466 broad range of inundation areas for models 9 to 11 is the smaller slip amount in the southern part of  
467 the Tohoku region (model 9) in comparison with other models, while the majority of large slip  
468 patches faces the Tohoku ria region, rather than the Sendai coastal region (models 10 and 11).  
469 Similar tendencies for separation into two groups are observed for other parts of the Sendai coastal  
470 plain (e.g. Ishinomaki–Higashimatsushima and Shiogama–Shichigahama). The overall inundation  
471 areas are limited due to topographical constraints, although it is quite large in the Sendai plain (e.g.  
472 140 km<sup>2</sup> in Sendai–Natori–Iwanuma).

473         The variability of the  $K$  and  $\kappa$  factors due to stochastic slip distributions is shown in Fig. 13.  
474 Separation into two distinct groups for the  $K$ -factor suggests that it is imperative to consider a range  
475 of alternative reference models when generating stochastic slip distributions for tsunami hazard  
476 analyses. Interestingly, the  $\kappa$  factor for the 11 models is essentially identical.

477

#### 478 4.5 Combined results for ten cities and towns in the Tohoku region



479       The sensitivity and variability of inundation areas with respect to variations in earthquake  
480 source characteristics are integrated by considering all ten selected locations. The results for the  
481 combined areas are similar to those for coastal plain regions. For instance, two distinct groups can  
482 be identified in the probability distributions for the slip variations. This is expected because the  
483 adopted metrics are the total inundation areas at the ten locations along the Tohoku coast. The same  
484 observations can be obtained from the  $K$  and  $\kappa$  factor plots. According to the  $K$  factors calculated  
485 for the combined areas (Table 4), models 2 and 8 perform well overall in reproducing the observed  
486 inundation depths during the Tohoku tsunami, followed by models 1 and 6. This observation could  
487 have been anticipated, since models 1, 2, 6, and 8 are estimated by tsunami inversion, while the  
488 others are based on different data sets (Table 1). However, the sensitivity and variability of the  
489 inundation characteristics at different locations do not show comparable (consistent) behavior, but  
490 instead reveal location-dependent trends. Therefore, the local inundation characteristics can be  
491 significantly different for the various cases, depending on fault geometry, relative location to  
492 epicenter and local bathymetry-topography effects.

493

## 494   **5     Critical scenarios for tsunami hazard mapping**

495       Stochastic earthquake slip scenarios generated by spectral analysis and synthesis of inverted  
496 source models are useful to investigate the sensitivity of tsunami properties to slip distribution and  
497 vertical seafloor displacement. Therefore, they help to define critical hazard thresholds and potential  
498 consequences. Assuming that a set of generated earthquake slip distributions constitutes a suitable  
499 representation for computing possible future tsunami scenarios, one can examine the relationships  
500 between inundation extent at a particular location/region and slip distribution/vertical seafloor  
501 displacement at different hazard levels (based on all admissible slip distributions for a given  
502 earthquake scenario). This essentially closes a loop in tsunami hazard analysis by more rigorously  
503 quantifying the uncertainty associated with tsunami predictions, allowing also identifying the most

504 important parameters that drive the tsunami hazard. This is conceptually similar to tsunami hazard  
505 disaggregation (Geist and Parsons, 2006; Thio et al., 2007; Horspool et al., 2014).

506 In this study, a set of 550 stochastically generated slip realization based on the 11 slip models  
507 is adopted as a representative set. Although further refinement of these slip models (or their  
508 selection) may be considered by utilizing expert opinions or physics-based algorithmic selection  
509 criteria, the results demonstrate highly complicated inter-dependency of inundation properties due  
510 to site condition, site location, and earthquake source properties.

511 To investigate critical scenarios for tsunami hazard and risk reduction, three particular  
512 locations, Kamaishi, Onagawa, and Sendai–Natori–Iwanuma, are examined in detail. For each  
513 location, 550 slip models are sorted in an ascending order according to an adopted tsunami hazard  
514 metric. For illustration, the inundation areas above 1 m depth are considered. Then, a slip model  
515 that corresponds to the 10th-, 50th-, or 90th-percentile in terms of the adopted metric is identified  
516 (these percentiles may represent the least, a typical, and the worst case scenario, respectively). Figs.  
517 14 to 16 show three sets of slip distributions and vertical seafloor displacements, identified based on  
518 the above-mentioned procedure for Kamaishi, Onagawa, and Sendai–Natori–Iwanuma. Note that  
519 the shown slip seafloor displacement represents just one of the possible scenarios that lead to the  
520 specified hazard levels. In each figure, the inundation areas above 1 m depth are indicated,  
521 corresponding to the 10th-, 50th-, and 90th-percentile levels and reference source models (see Table  
522 1 and Fig. 1). Although the three percentile levels are distributed evenly with respect to the median,  
523 the inundation areas corresponding to these percentile levels are unevenly distributed because  
524 inundation processes are highly nonlinear (Figs. 5 to 13).

525 Fig. 14 shows that the 10th-percentile scenario does not have major slip patches off-shore  
526 Kamaishi (marked as a circle with grey-color fill). Therefore, the tsunami waves do not affect  
527 Kamaishi directly. If a higher percentile level is considered, locations of major slip patches move  
528 North, leading to more extensive tsunami inundation for such scenarios. Note that the inundation  
529 areas above 1 m depth for the 90th-percentile scenario are greater by a factor of 2.5 than those for

530 the 10th-percentile scenario. Figs. 15 and 16 show the critical slip distributions and vertical seafloor  
531 displacements for Onagawa and Sendai–Natori–Iwanuma. The general observations for Kamaishi  
532 are also applicable to these locations: with an increase of percentile level, slip patches move closer  
533 to the region. The relative changes of the inundation areas from one percentile level to another  
534 depend on the location.

535 Finally, the results for the combined areas of 10 cities and towns are also evaluated, but not  
536 presented in detail since they are very similar to those for Sendai–Natori–Iwanuma (i.e. Fig. 16).  
537 The results indicate that for the 10th-percentile case, large slips exist off the Sanriku coast. In these  
538 cases, the total inundation areas are smaller but major tsunami waves will still hit communities in  
539 the ria regions (potentially causing catastrophic damage). At the 50th-percentile level, the slip  
540 concentrations move South, causing more extensive inundation in coastal plain regions. For the  
541 90th-percentile case, the asperities move even further South and increase in size. For a particular  
542 target location, the worst-case scenario is given in case of nearby high-slip region. Therefore, the  
543 estimation of the maximum slip and its possible location (e.g. along the trench) is important to  
544 improve stochastic tsunami hazard assessments.

545 As a final remark, it is important to recognize that critical scenarios at different hazard levels  
546 correspond to different slip characteristics, and do not occur simultaneously. Therefore, different  
547 scenarios are useful for different regions and different purposes. For example, local municipalities  
548 may be interested in preparing a set of tsunami hazard maps for evacuation purposes, and thus  
549 stochastic tsunami scenarios and corresponding inundation maps for local communities are useful.  
550 On the other hand, from a wider regional perspective, emergency managers should prepare several  
551 tsunami scenarios and corresponding inundation maps for the region in order to assess the tsunami  
552 hazard at different locations for a range of critical scenarios. Although it is not straightforward to  
553 decide how to utilize and communicate such comprehensive statistical tsunami hazard information,  
554 (as presented in this study) to the general audience and decision makers, it is important to  
555 understand the range of uncertainty in terms of tsunami consequences for a given scenario. To

556 successfully implement tsunami risk mitigation, results of probabilistic tsunami hazard assessments  
557 need to be properly summarized and presented to residents and policy makers.

558

## 559 **6 Conclusions**

560 Tsunami inundation is a highly nonlinear process that is strongly influenced by tsunami-  
561 generation due to earthquake source characteristics and by the local topography. In this study, we  
562 developed and applied a new framework for assessing the sensitivity and variability of tsunami  
563 inundation due to a mega-thrust subduction earthquake. We then quantified the sensitivity and  
564 variability of tsunami hazard parameters (inundation depths, inundation areas with different depth  
565 thresholds, and  $K$  and  $\kappa$  performance metrics) at various locations along the coast for multiple  
566 reference earthquake source models and their stochastic realizations. The assessment of the  
567 sensitivity and variability of the tsunami hazard parameters facilitates the broader exploration of  
568 possible tsunami scenarios and their potential consequences. It also provides tsunami analysts and  
569 local stakeholders with important information for improved tsunami risk management and  
570 communication.

571 The main conclusions of this study are:

- 572 • The influence of the reference source models on the inundation sensitivity and variability is  
573 significant, indicating that relying on one particular inversion model, as a representative case,  
574 may lead to under-estimation of the total tsunami hazard and potential consequences. A  
575 careful and scientifically justifiable selection of the reference model should be an essential  
576 part of any such assessment, and a transparent method for selecting a set of applicable  
577 reference source models needs to be developed. We recommended to use several available  
578 source models as “representative” cases, also for calibration purposes, to generate a  
579 sufficiently large set of different scenarios.
- 580 • The development of critical scenarios for tsunami hazard and risk assessments is important,  
581 and closes the loop between variability assessment associated with tsunami predictions and

identification of high-impact scenarios for tsunami hazard mapping and disaster preparedness planning. The performance metrics should be selected carefully (e.g. inundation areas above different depth thresholds and  $K$  factor) and the context of the assessments (e.g. local versus regional) should be defined clearly to make critical scenarios meaningful for tsunami risk mitigation, because some aspects of the hazard characteristics may be masked unintentionally.

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721 Table 1. Summary of the 11 slip models.

Model ID and reference	Seismic moment (Nm)	Length (km)	Width (km)	Top-edge depth (km)	Strike, dip, rake (°)	Sub-fault number <sup>1</sup>	Sub-fault size <sup>1</sup> (km)	Data type
1: Fujii et al. (2011)	$3.8 \times 10^{22}$	500	200	0.0	[193, 14, 81]	10×4	50×50	Tsunami
2: Satake et al. (2013)	$4.2 \times 10^{22}$	550	200	0.0	[193, 8-16, 81]	11×5	50×50/25	Tsunami
3: Shao et al. (2011) [Ver1]	$5.6 \times 10^{22}$	500	200	4.9	[198, 10, <i>Var</i> <sup>2</sup> ]	20×10	25×20	Teleseismic
4: Shao et al. (2011) [Ver2]	$5.8 \times 10^{22}$	475	200	7.4	[198, 10, <i>Var</i> ]	19×10	25×20	Teleseismic
5: Shao et al. (2011) [Ver3]	$5.8 \times 10^{22}$	475	200	7.4	[198, 10, <i>Var</i> ]	19×10	25×20	Teleseismic
6: Yamazaki et al. (2011)	$3.2 \times 10^{22}$	340	200	3.8	[192, 12, <i>Var</i> ]	17×10	20×20	Teleseismic & tsunami
7: Ammon et al. (2011)	$3.6 \times 10^{22}$	600	210	1.0	[202, 12, 85]	40×14	15×15	Teleseismic & geodetic
8: Gusman et al. (2012)	$5.1 \times 10^{22}$	450	200	1.0	[202, 5-20, <i>Var</i> ]	9×5	50×40	Tsunami & geodetic
9: Hayes (2011)	$4.9 \times 10^{22}$	625	260	5.8	[194, 10, <i>Var</i> ]	25×13	25×20	Teleseismic
10: Iinuma et al. (2011)	$4.0 \times 10^{22}$	600	240	1.1	[ <i>Var</i> , <i>Var</i> , <i>Var</i> ]	60×24	10×10	Geodetic
11: Iinuma et al. (2012)	$4.0 \times 10^{22}$	620	260	1.0	[ <i>Var</i> , <i>Var</i> , <i>Var</i> ]	62×26	10×10	Geodetic

722 1) The first entry is for the along-strike direction, while the second entry is for the down-dip direction, and 2) *Var* represents that the parameter is variable.

723 Table 2. Summary of the stochastic slip parameters for the 11 slip models.

Model ID	von Kármán parameters [ $A_z$ (km), $A_x$ (km), $H$ ]	Grid spacing (km)	Box–Cox parameter	Mean and std. dev. of the original slip model <sup>1</sup> (m)	Asperity-zone dimension fraction <sup>2</sup> [down-dip, along-strike]	Asperity slip concentration fraction <sup>3</sup>
1	[63, 68, 0.99]	25	0.2	[7.18, 8.41]	[0.35, 0.35]	0.4
2	[56, 107, 0.82]	25	0.2	[9.43, 11.75]	[0.25, 0.4]	0.3
3	[50, 119, 0.99]	10	0.3	[11.85, 11.14]	[0.25, 0.4]	0.35
4	[53, 84, 0.99]	10	0.3	[10.71, 12.54]	[0.3, 0.4]	0.35
5	[45, 93, 0.99]	10	0.3	[11.14, 14.10]	[0.3, 0.4]	0.35
6	[37, 72, 0.96]	10	0.2	[14.20, 13.92]	[0.3, 0.4]	0.4
7	[51, 94, 0.99]	10	0.3	[7.84, 10.48]	[0.4, 0.35]	0.3
8	[64, 86, 0.99]	25	0.2	[9.38, 10.35]	[0.3, 0.35]	0.3
9	[65, 94, 0.99]	10	0.2	[5.27, 6.21]	[0.3, 0.4]	0.3
10	[54, 141, 0.99]	10	0.1	[8.32, 7.90]	[0.3, 0.3]	0.25
11	[51, 81, 0.99]	10	0.0	[7.95, 12.11]	[0.45, 0.3]	0.45

724 1) The slip model is the tapered original slip distribution; 2) The fraction values of the asperity rectangle for the  
725 down-dip and along-strike directions are defined in terms fault length and fault width of the original slip model;  
726 and 3) The fraction value of the asperity slip concentration is defined in terms of total slip over the fault plane.  
727

728 Table 3. Mean and standard deviation of the simulated inundation depths for the 11 slip models.

City/town	TTJS	Model 1	Model 2	Model 3	Model 4	Model 5	Model 6	Model 7	Model 8	Model 9	Model 10	Model 11
Miyako	4.12, 2.65	3.11, 2.92	3.12, 2.71	3.37, 2.86	5.91, 3.16	7.82, 3.55	5.13, 3.35	2.50, 2.37	3.04, 2.58	2.00, 1.76	9.64, 3.72	10.28, 3.83
Kamaishi	5.42, 3.42	6.81, 2.58	6.91, 2.89	10.79, 2.84	10.51, 2.90	10.67, 2.90	10.29, 2.83	5.21, 2.64	5.81, 2.61	6.04, 2.69	13.83, 2.84	11.36, 2.72
Ofunato	7.69, 4.92	4.33, 2.71	4.93, 3.29	6.89, 4.43	6.43, 4.10	7.68, 5.95	6.25, 3.44	3.29, 2.18	3.49, 2.43	4.19, 2.96	4.66, 2.93	4.88, 3.15
Rikuzentakata	8.16, 4.58	8.16, 5.39	7.77, 5.19	9.22, 6.18	12.20, 5.99	8.47, 5.92	8.26, 5.42	8.29, 5.26	6.90, 4.77	5.81, 4.65	9.40, 5.87	9.13, 5.77
Kesennuma	4.35, 3.01	3.43, 2.34	2.88, 2.12	3.70, 2.55	4.18, 2.63	3.36, 2.65	4.24, 2.52	3.05, 2.18	2.69, 2.09	2.39, 2.03	2.69, 2.18	2.93, 2.44
Onagawa	7.74, 4.10	10.85, 5.06	7.37, 3.87	6.38, 3.61	18.89, 4.98	12.96, 4.97	6.74, 4.12	16.14, 4.99	5.83, 3.63	4.48, 3.59	10.26, 5.06	9.00, 4.00
Ishinomaki	2.49, 1.99	3.54, 2.02	3.20, 2.02	2.99, 2.16	5.25, 2.53	4.66, 2.25	2.92, 1.92	5.45, 2.27	2.17, 1.85	1.34, 1.52	2.84, 2.10	4.12, 2.37
Shiogama	3.58, 2.93	3.88, 3.07	3.65, 2.69	3.83, 3.43	5.41, 4.00	4.95, 3.65	3.77, 3.15	5.44, 3.46	3.17, 3.00	2.17, 2.60	3.31, 3.16	4.62, 3.71
Natori	2.71, 2.07	3.89, 1.59	3.28, 1.59	4.89, 1.88	6.30, 1.96	5.45, 1.93	3.70, 1.82	6.32, 1.49	2.83, 1.63	1.84, 1.53	4.05, 1.64	5.52, 1.99
Yamamoto	3.75, 2.79	3.70, 1.85	2.06, 1.51	5.43, 2.19	6.28, 2.15	5.93, 2.14	3.84, 2.06	7.43, 2.23	2.05, 1.58	1.08, 1.56	4.56, 2.13	5.76, 2.14

730 Table 4. *K* factor and  $\kappa$  factor of the simulated inundation depths for the 11 slip models. Cells having *K* factor between 0.9 and 1.1 are shaded  
731 with grey color.

City/town	Model 1	Model 2	Model 3	Model 4	Model 5	Model 6	Model 7	Model 8	Model 9	Model 10	Model 11
Miyako	0.93, 1.79	1.05, 2.06	1.02, 1.98	0.64, 1.96	0.51, 1.96	0.78, 2.14	1.18, 1.88	1.15, 1.91	1.44, 1.66	0.38, 1.81	0.35, 1.83
Kamaishi	0.68, 1.81	0.71, 1.85	0.40, 1.78	0.42, 1.76	0.41, 1.77	0.43, 1.78	0.90, 1.59	0.84, 1.93	0.74, 1.63	0.31, 1.88	0.38, 1.79
Ofunato	1.72, 1.70	1.58, 1.72	1.15, 1.71	1.23, 1.68	1.06, 1.73	1.15, 1.57	2.33, 1.74	2.03, 1.69	1.82, 1.76	1.59, 1.79	1.55, 1.71
Rikuzentakata	0.98, 1.72	1.07, 1.75	0.94, 1.63	0.62, 1.56	1.03, 1.80	1.04, 1.59	0.97, 1.74	1.18, 1.80	1.53, 2.22	0.87, 1.50	0.95, 1.69
Kesennuma	1.26, 1.73	1.47, 1.78	1.16, 1.74	0.99, 1.69	1.31, 1.78	0.98, 1.64	1.44, 1.82	1.49, 1.74	1.75, 1.80	1.45, 1.69	1.40, 1.76
Onagawa	0.69, 1.74	0.98, 1.99	1.13, 2.01	0.34, 1.82	0.53, 1.67	1.03, 2.00	0.41, 1.76	1.29, 2.08	1.68, 2.29	0.76, 1.76	0.77, 1.88
Ishinomaki	0.57, 1.91	0.64, 1.82	0.72, 1.95	0.38, 2.00	0.43, 1.96	0.71, 1.79	0.36, 1.98	1.05, 1.79	1.54, 1.78	0.76, 1.93	0.49, 1.96
Shiogama	0.85, 2.42	0.87, 2.21	0.89, 2.49	0.61, 2.61	0.67, 2.54	0.89, 2.29	0.60, 2.58	1.08, 2.17	1.57, 2.03	0.98, 2.32	0.75, 2.57
Natori	0.58, 1.73	0.70, 1.71	0.46, 1.75	0.35, 1.77	0.41, 1.73	0.62, 1.67	0.34, 1.82	0.84, 1.63	1.42, 1.61	0.56, 1.74	0.40, 1.76
Yamamoto	0.88, 1.84	1.60, 1.96	0.57, 1.75	0.49, 1.82	0.51, 1.76	0.84, 1.69	0.40, 1.86	1.61, 1.87	2.65, 2.28	0.70, 1.78	0.53, 1.76
10 cities & towns	0.73, 1.95	0.91, 2.02	0.63, 2.00	0.46, 2.03	0.52, 2.02	0.74, 1.82	0.48, 2.30	1.09, 1.89	1.54, 1.89	0.67, 1.98	0.53, 2.07

732

## Figure Captions

- Fig. 1 11 inversion-based source models. The sub-fault with thick lines represents the asperity area, slip of which is equal to or greater than three times the average slip.
- Fig. 2 Vertical seafloor displacements of 11 inversion-based source models.
- Fig. 3 Overview of spectral analysis, synthesis of stochastic earthquake slips and inundation mapping.
- Fig. 4. Locations of ten cities and towns along the Sanriku coast in eastern part of the Tohoku region.
- Fig. 5 Comparison of simulated inundation depth contours in Kamaishi for the 11 slip models.
- Fig. 6 Sensitivity of inundation areas to stochastic slip variations for Kamaishi: (a) inundation depth threshold = 1 m and (b) inundation depth threshold = 5 m.
- Fig. 7 Sensitivity of  $K$  factor (a) and  $\kappa$  factor (b) due to stochastic slip variations for Kamaishi.
- Fig. 8 Comparison of simulated inundation depth contours in Onagawa for the 11 slip models.
- Fig. 9 Sensitivity of inundation areas to stochastic slip variations for Onagawa: (a) inundation depth threshold = 1 m and (b) inundation depth threshold = 5 m.
- Fig. 10 Sensitivity of  $K$  factor (a) and  $\kappa$  factor (b) due to stochastic slip variations for Onagawa.
- Fig. 11 Comparison of simulated inundation depth contours in Sendai-Natori-Iwanuma for the 11 slip models.
- Fig. 12 Sensitivity of inundation areas to stochastic slip variations for Sendai-Natori-Iwanuma: (a) inundation depth threshold = 1 m and (b) inundation depth threshold = 5 m.

758 Fig. 13 Sensitivity of  $K$  factor (a) and  $\kappa$  factor (b) due to stochastic slip variations for  
759 Sendai-Natori-Iwanuma.

760 Fig. 14. Slip distributions and vertical seafloor displacements that correspond to 10th-,  
761 50th-, and 90th-percentiles of inundated areas above 1 m depth for Kamaishi.

762 Fig. 15. Slip distributions and vertical seafloor displacements that correspond to 10th-,  
763 50th-, and 90th-percentiles of inundated areas above 1 m depth for Onagawa.

764 Fig. 16. Slip distributions and vertical seafloor displacements that correspond to 10th-,  
765 50th-, and 90th-percentiles of inundated areas above 1 m depth for Sendai-Natori-  
766 Iwanuma.



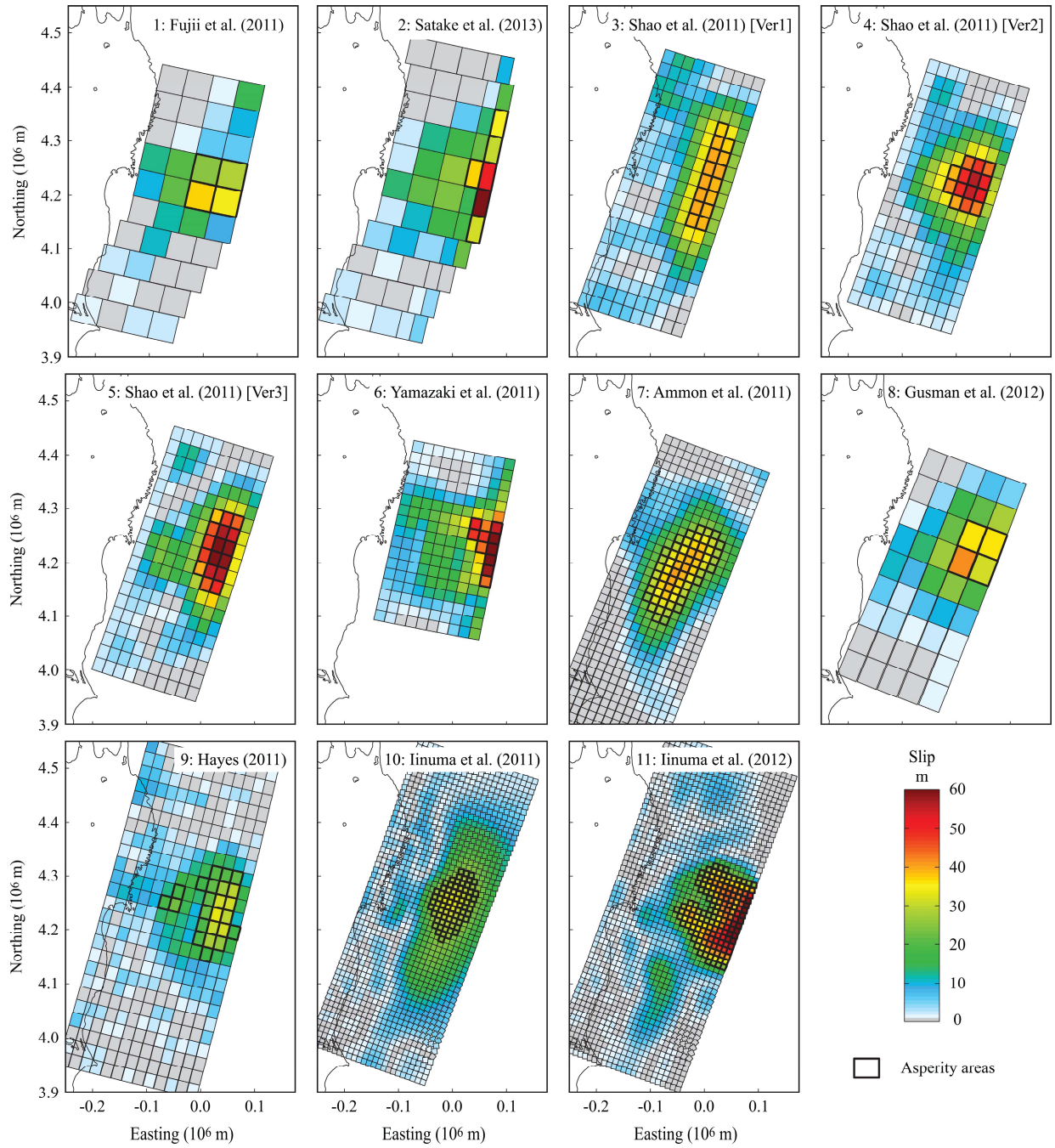


Fig. 1. Analyzed 11 inversion-based source models for the 2011 Tohoku Earthquake Tsunami. The sub-fault with thick lines represents the asperity area, slip of which is equal to or greater than three times the average slip (panels: 1-Fujii et al. (2011), 2-Satake et al. (2013), 3-Shao et al. (2011) Ver1, 4-Shao et al. (2011) Ver2, 5-Shao et al. (2011) Ver3, 6-Yamazaki et al. (2011), 7-Ammon et al. (2011), 8-Gusman et al. (2012), 9-Hayes (2011), 10-Iinuma et al. (2011), 11-Iinuma et al. (2012)).

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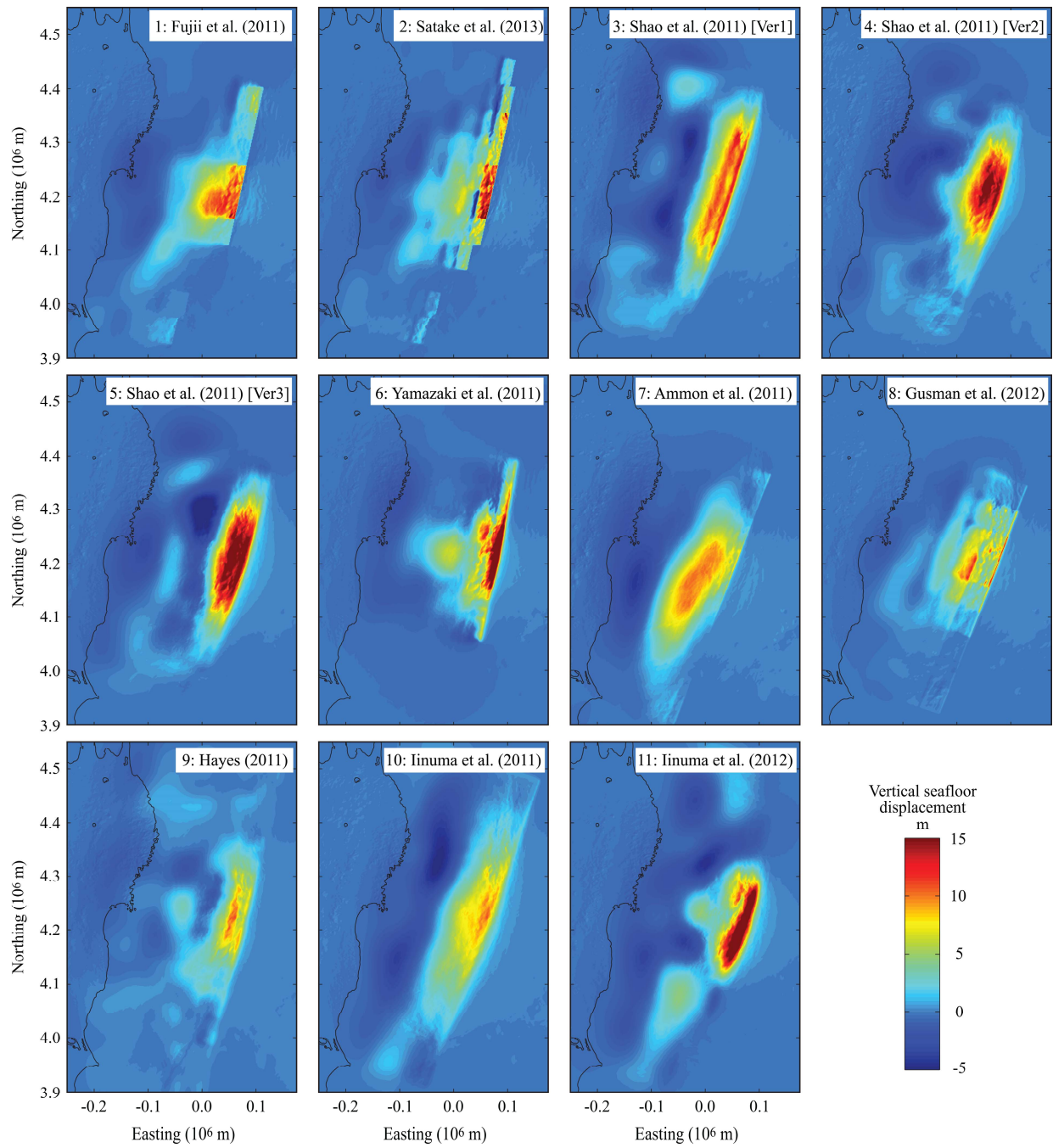
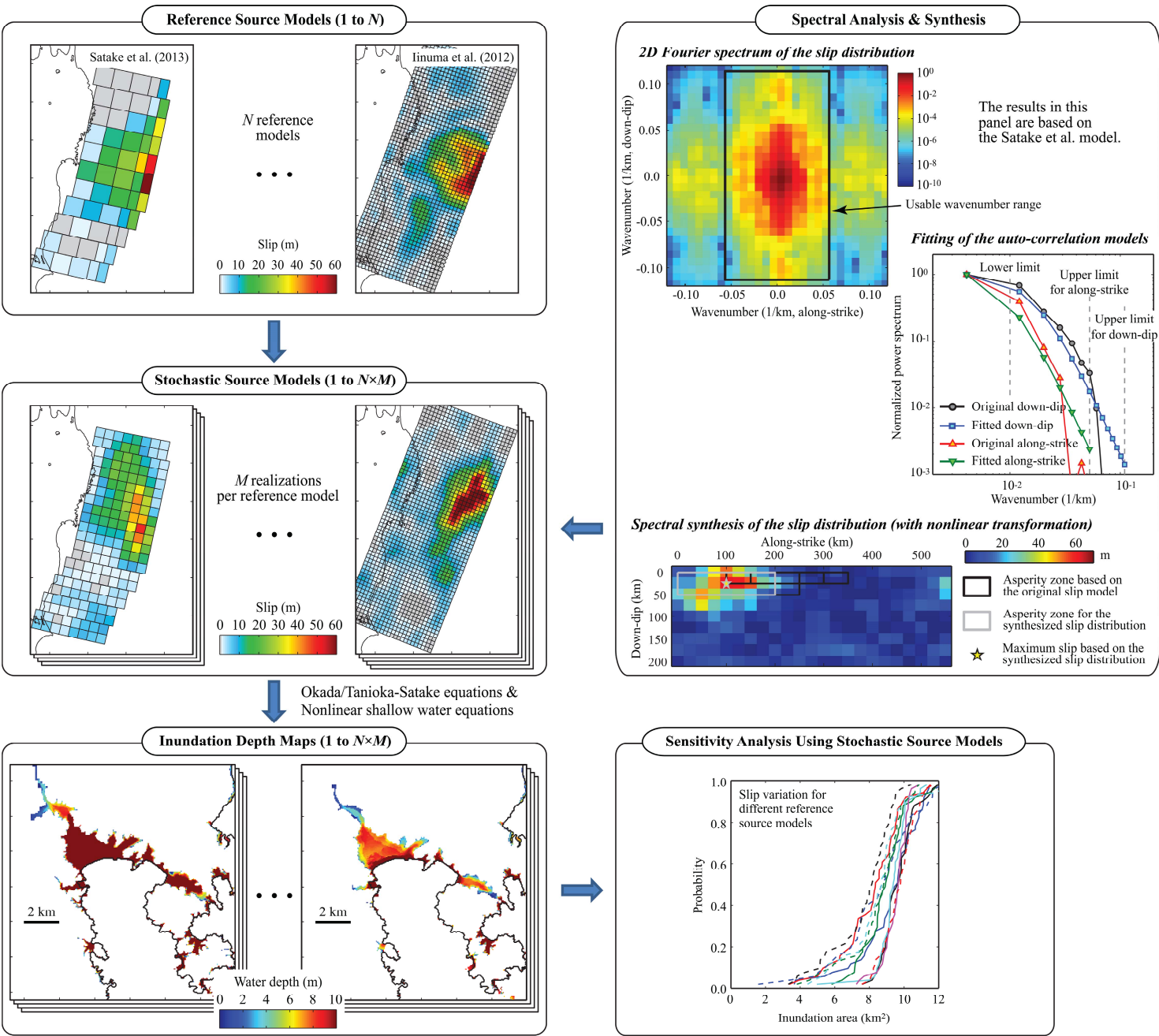
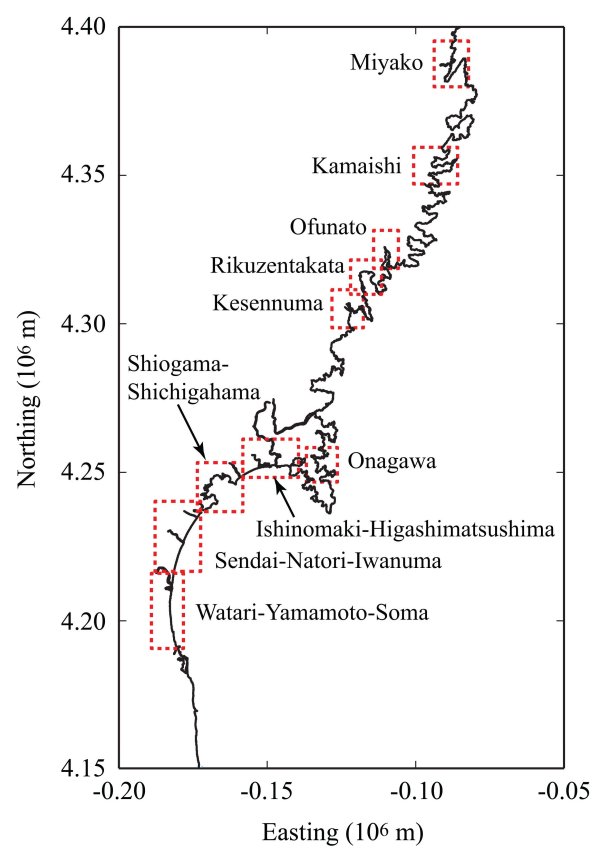


Fig. 2. Vertical seafloor displacements of 11 inversion-based source models (panel order is same to Fig.1).



15 Fig. 3. Overview of flowchart of stochastic tsunami hazard assessment from spectral analysis, synthesis of  
16 stochastic earthquake slip generation, inundation mapping to sensitivity analysis of inundation.  
17



19

20 Fig. 4. Locations of reference ten cities and towns along the Sanriku coast in eastern part of the Tohoku region  
21 (boxes by dashed lines are the final nested domain for inundation simulation).  
22



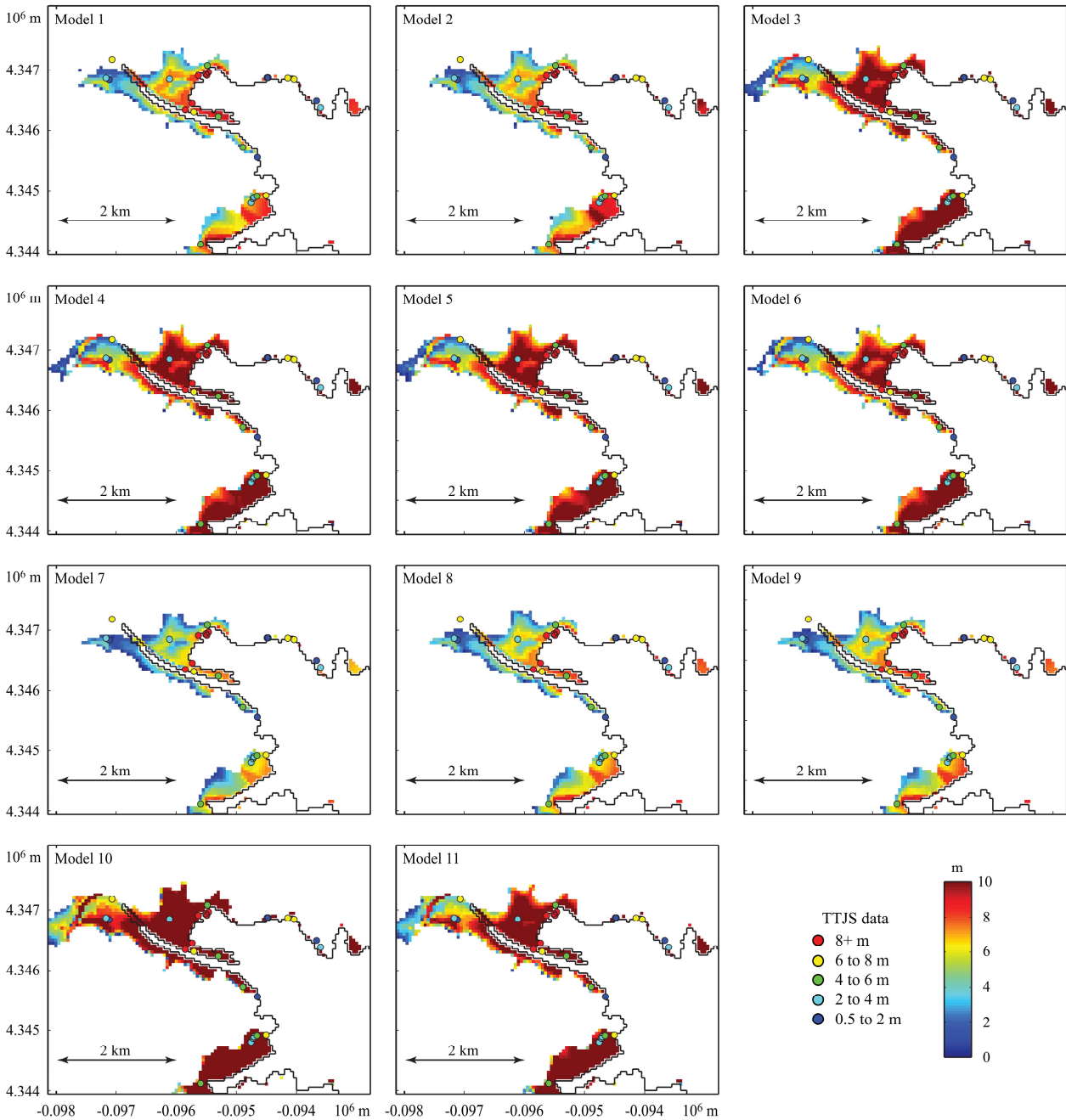
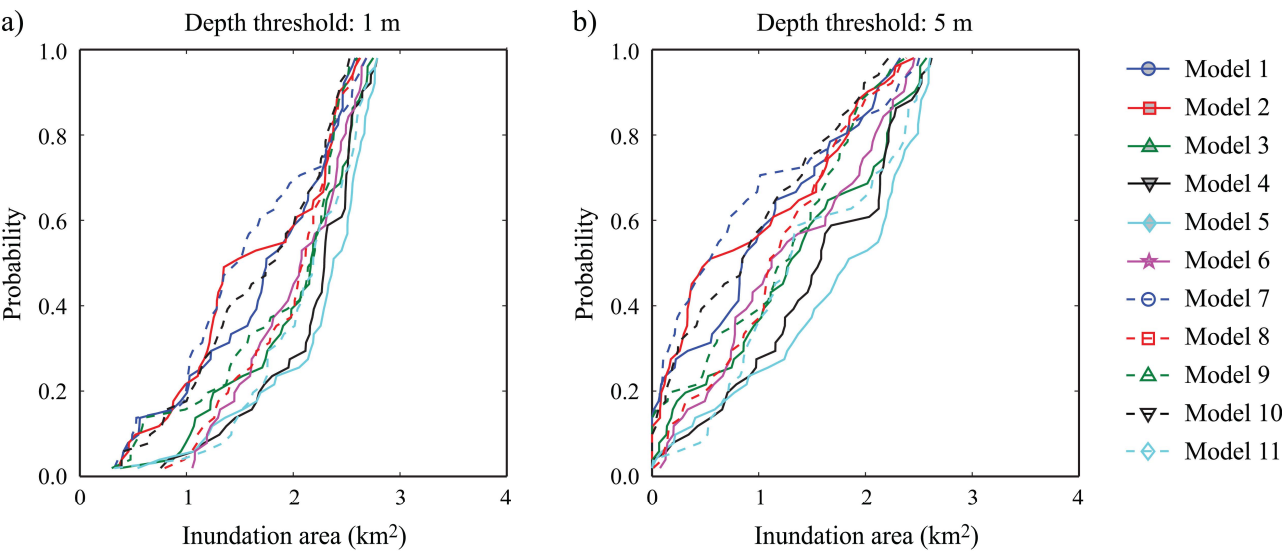
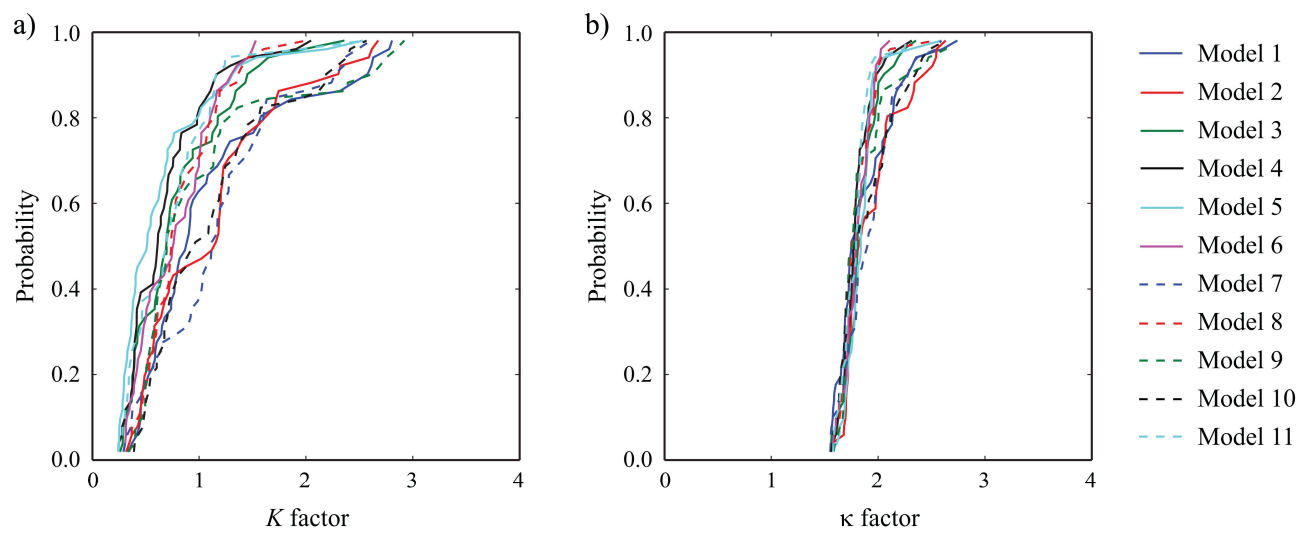


Fig. 5. Comparison of simulated inundation depth contours in Kamaishi for the 11 slip models (panel order is same to Fig.1).



29

30 Fig. 6. Sensitivity of inundation areas to stochastic slip variations for Kamaishi: (a) inundation depth threshold  
31 = 1 m and (b) inundation depth threshold = 5 m (model 1-11 corresponds to panel order in Fig.1).  
32



35 Fig. 7. Sensitivity of  $K$  factor (a) and  $\kappa$  factor (b) due to stochastic slip variations for Kamaishi (model 1-11  
36 corresponds to panel order in Fig.1).  
37

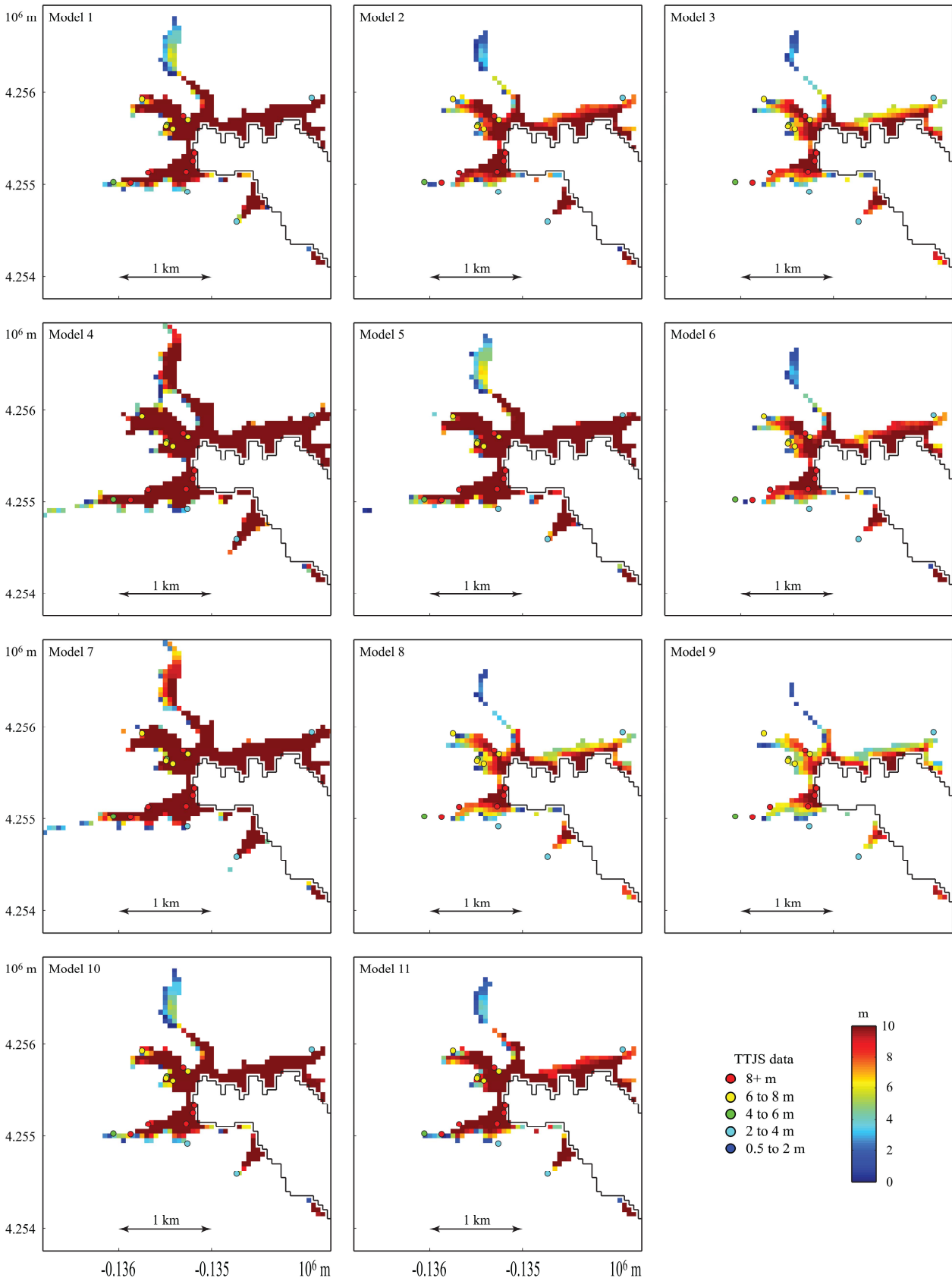
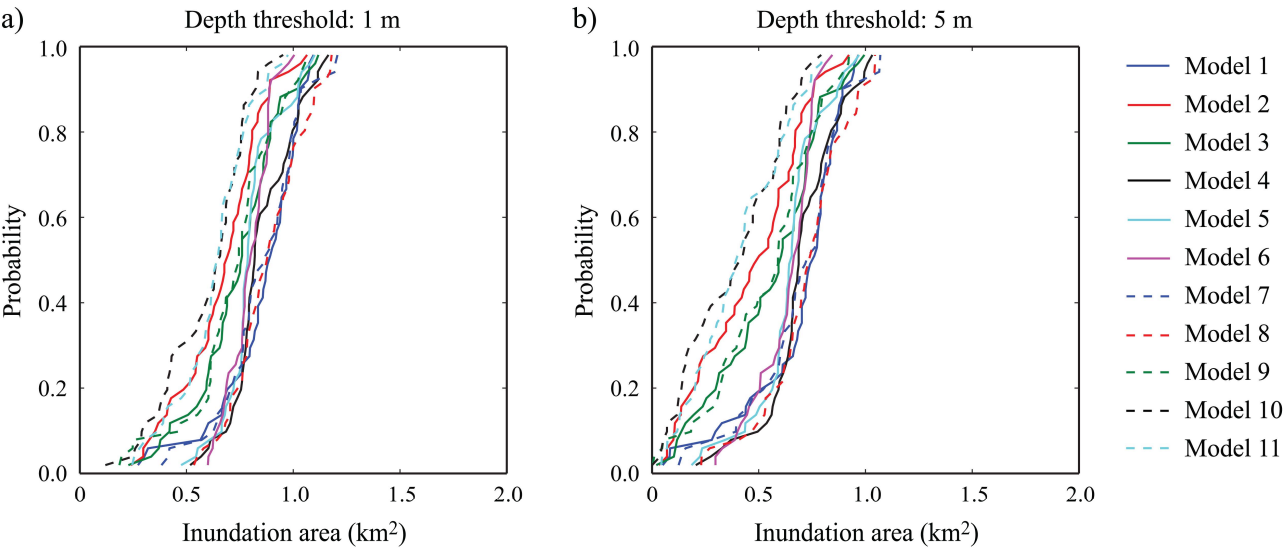
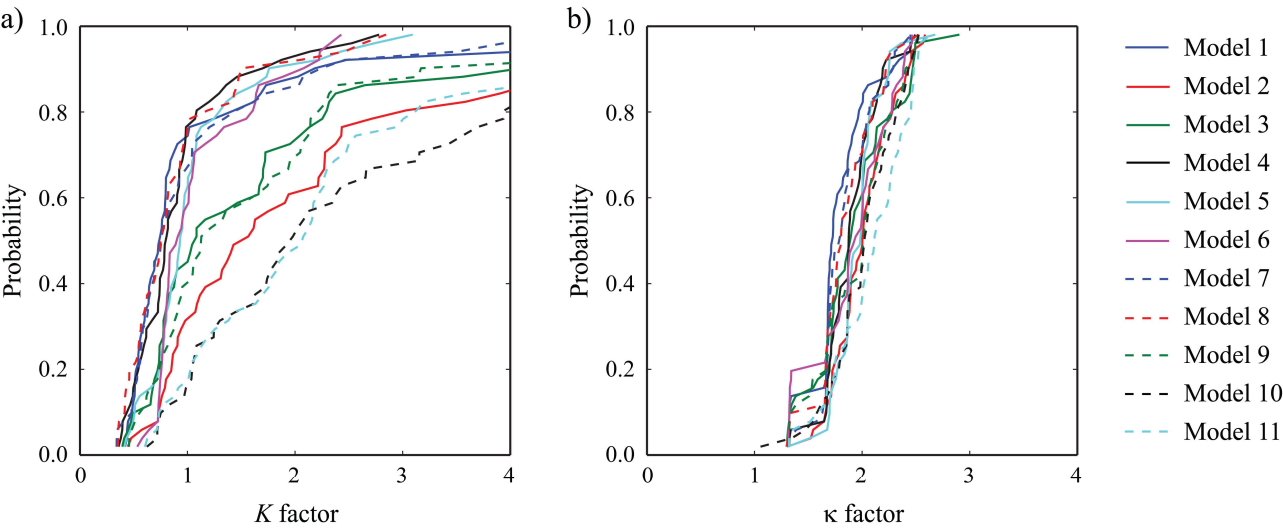


Fig. 8. Comparison of simulated inundation depth contours in Onagawa for the 11 slip models (panel order is same to Fig.1).





45 Fig. 9. Sensitivity of inundation areas to stochastic slip variations for Onagawa: (a) inundation depth threshold  
46 = 1 m and (b) inundation depth threshold = 5 m (model 1-11 corresponds to panel order in Fig.1).  
47



50 Fig. 10. Sensitivity of  $K$  factor (a) and  $\kappa$  factor (b) due to stochastic slip variations for Onagawa (model 1-11  
51 corresponds to panel order in Fig.1).  
52

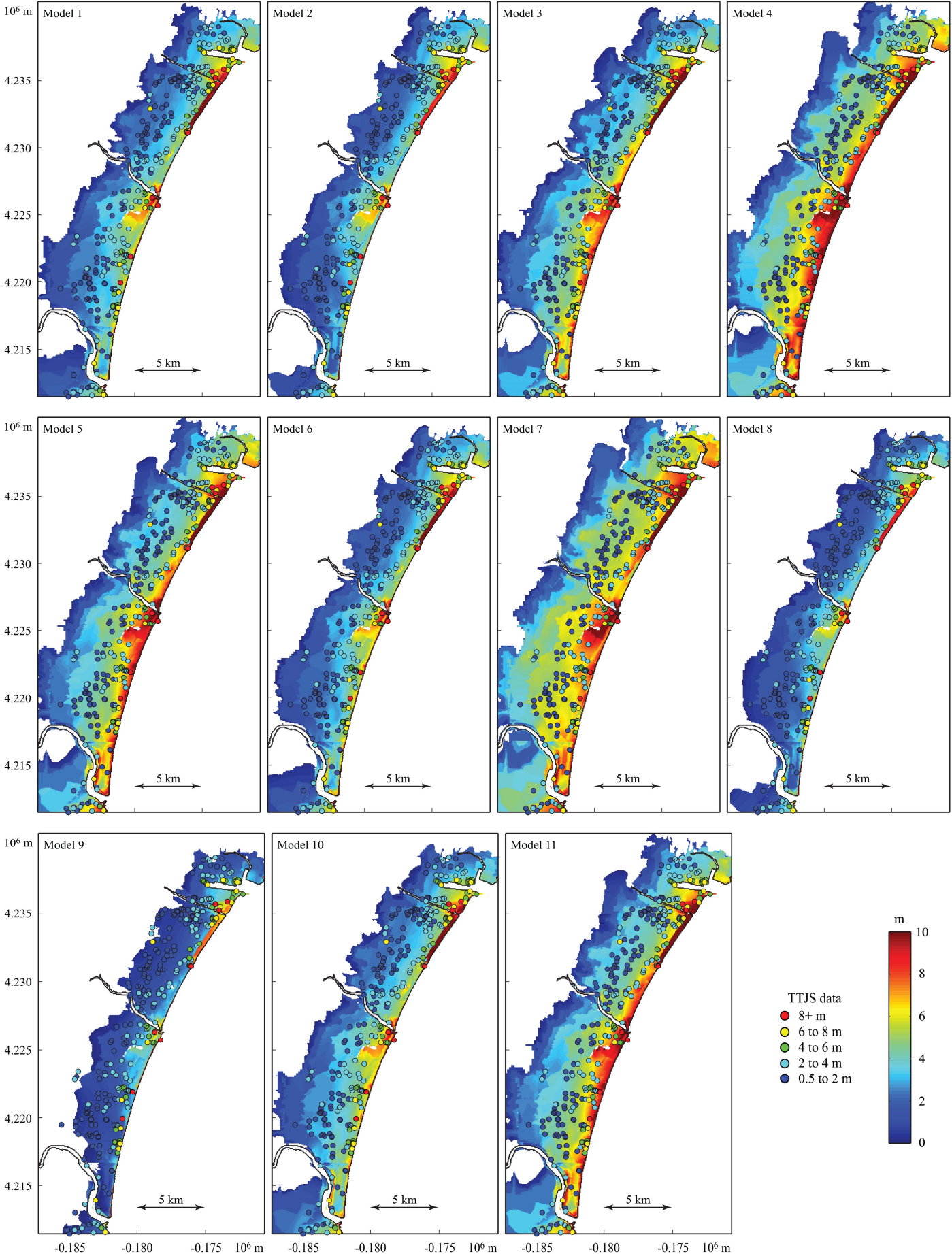
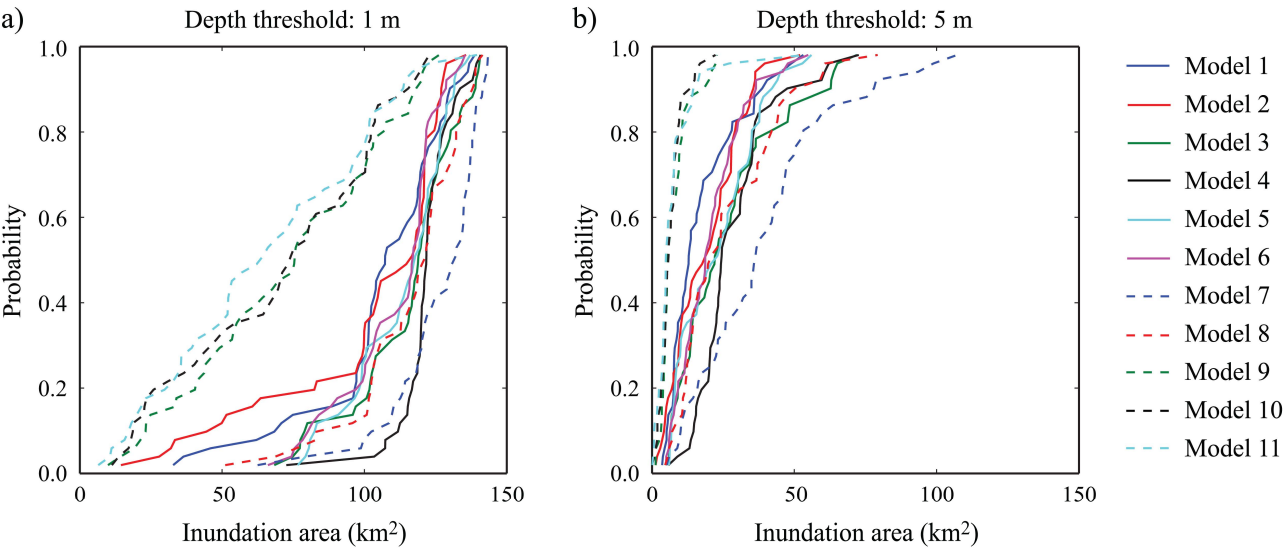
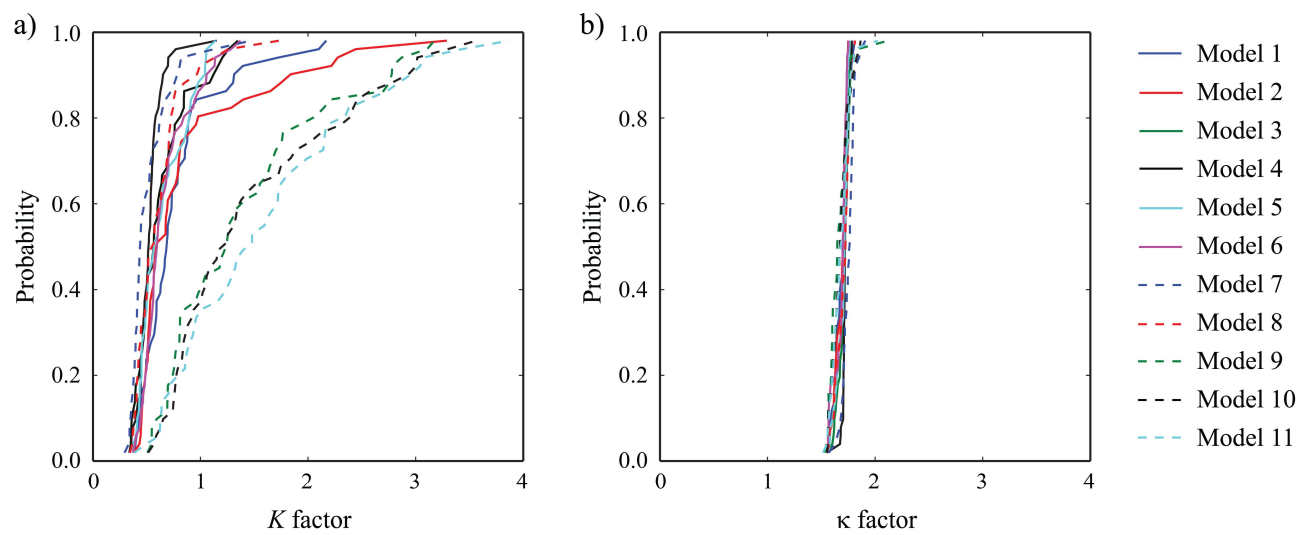


Fig. 11. Comparison of simulated inundation depth contours in Sendai-Natori-Iwanuma for the 11 slip models (panel order is same to Fig.1).



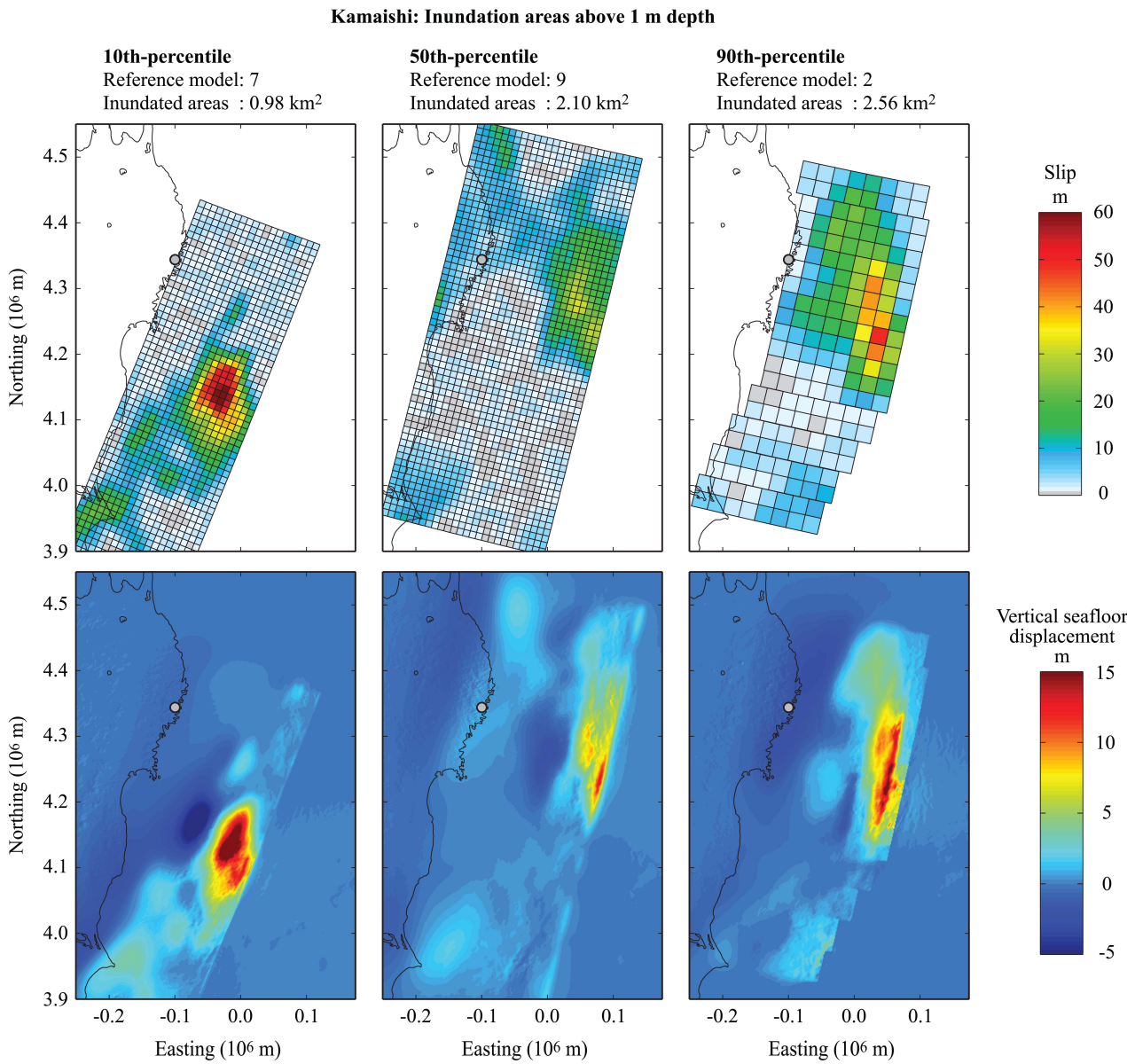
61 Fig. 12. Sensitivity of inundation areas to stochastic slip variations for Sendai-Natori-Iwanuma: (a) inundation  
62 depth threshold = 1 m and (b) inundation depth threshold = 5 m (model 1-11 corresponds to panel order in  
63 Fig.1).  
64

65



66

67 Fig. 13. Sensitivity of  $K$  factor (a) and  $\kappa$  factor (b) due to stochastic slip variations for Sendai-Natori-Iwanuma  
68 (model 1-11 corresponds to panel order in Fig.1).  
69



71

72 Fig. 14. Synthetic slip distributions and vertical seafloor displacements that correspond to 10th-, 50th-,  
73 and 90th-percentiles of inundated areas above 1 m depth for Kamaishi (top: slip distribution, bottom line:  
74 vertical seafloor displacement; left: 10<sup>th</sup>, middle: 50<sup>th</sup>, right: 90<sup>th</sup>).



# Onagawa: Inundation areas above 1 m depth

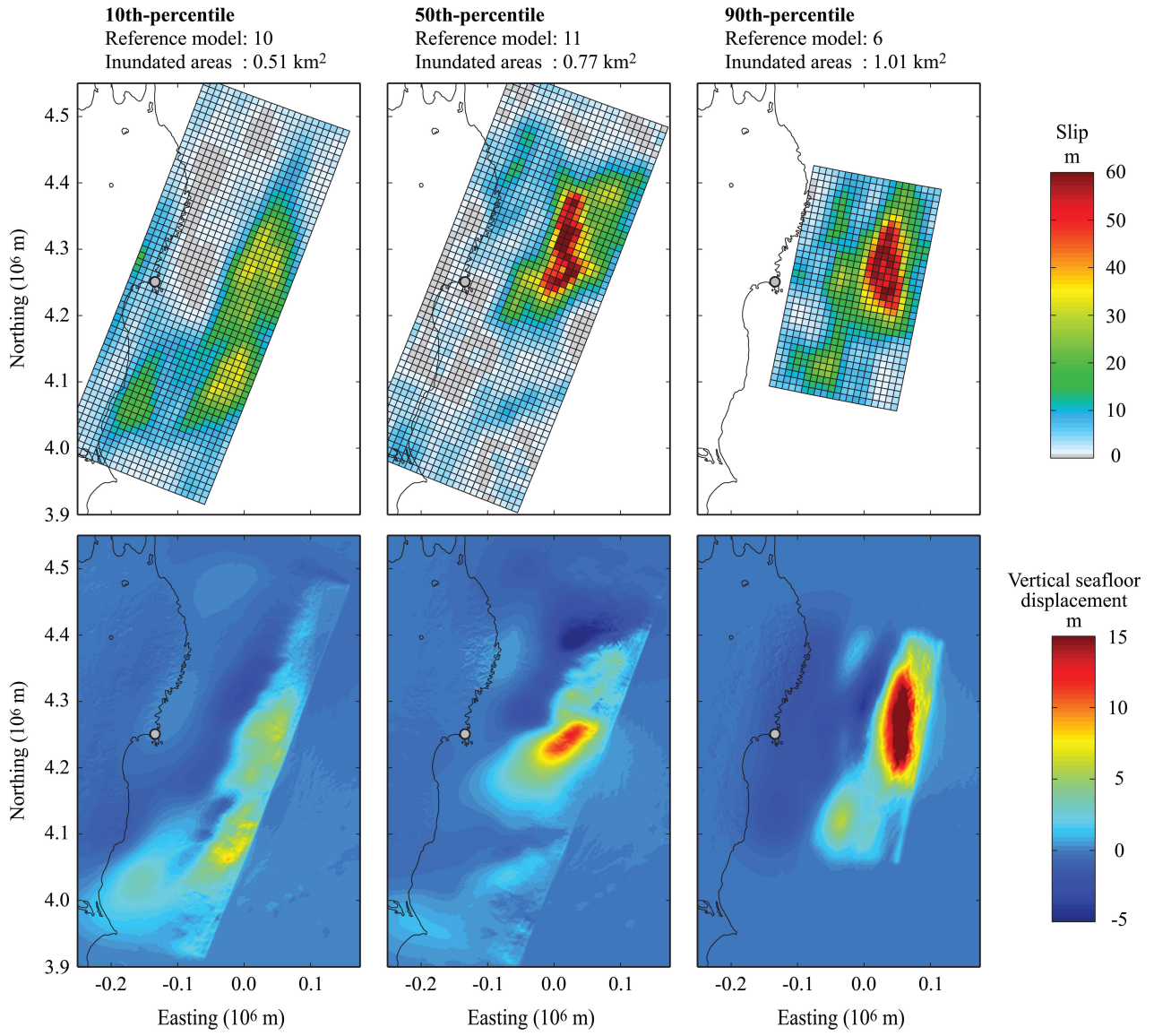
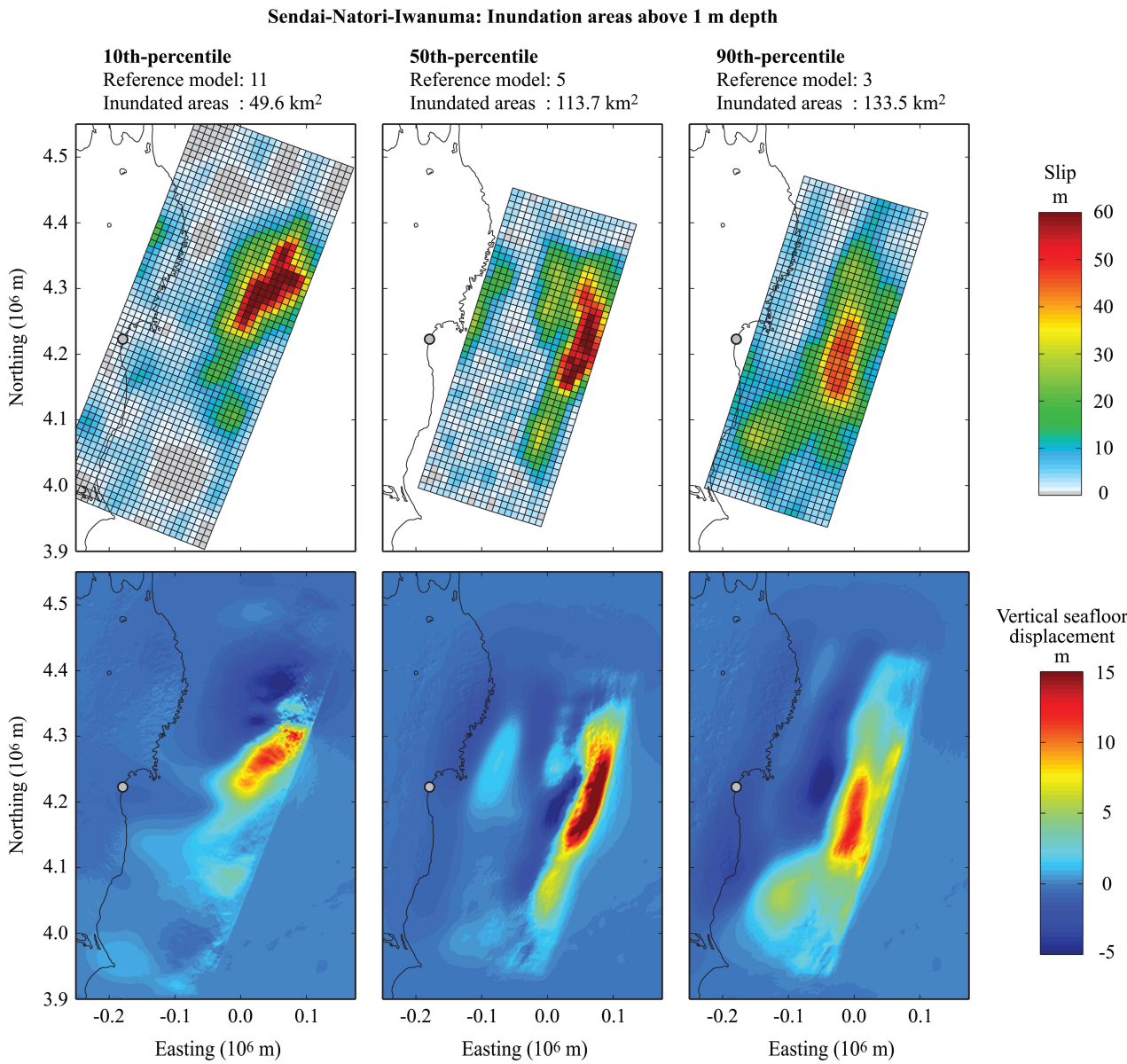


Fig. 15. Synthetic slip distributions and vertical seafloor displacements that correspond to 10th-, 50th-, and 90th-percentiles of inundated areas above 1 m depth for Onagawa (top: slip distribution, bottom line: vertical seafloor displacement; left: 10<sup>th</sup>, middle: 50<sup>th</sup>, right: 90<sup>th</sup>).



80

81 Fig. 16. Synthetic slip distributions and vertical seafloor displacements that correspond to 10th-, 50th-, and  
82 90th-percentiles of inundated areas above 1 m depth for Sendai-Natori-Iwanuma (top: slip distribution, bottom  
83 line: vertical seafloor displacement; left: 10<sup>th</sup>, middle: 50<sup>th</sup>, right: 90<sup>th</sup>).